



THE UNIVERSITY *of* TEXAS

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SCHOOL OF HEALTH INFORMATION  
SCIENCES AT HOUSTON

# X-Ray Crystallography Pt. II

For students of HI 6001-125

“Computational Structural Biology”

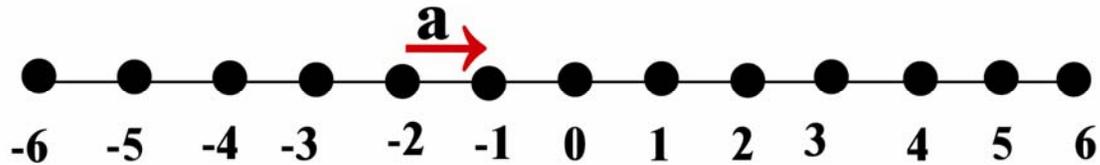
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Baylor College of Medicine

<http://biomachina.org/courses/structures/03.html>

# Periodic Array of $2N+1$ Identical Atoms

**Periodicity  $a$**



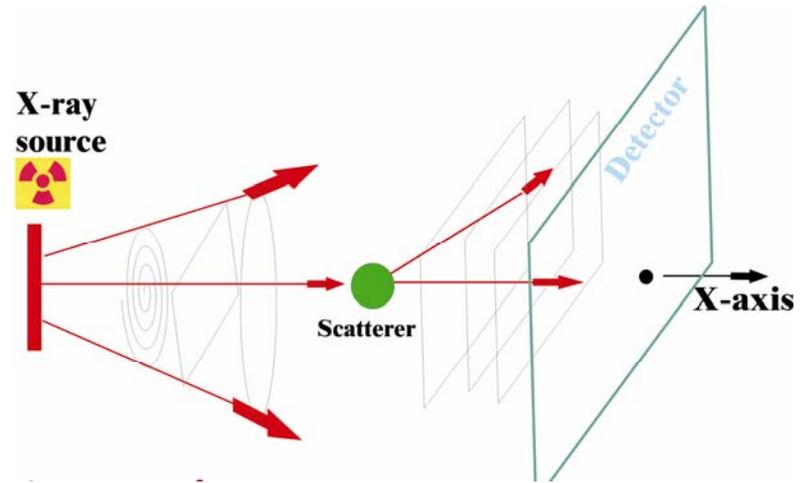
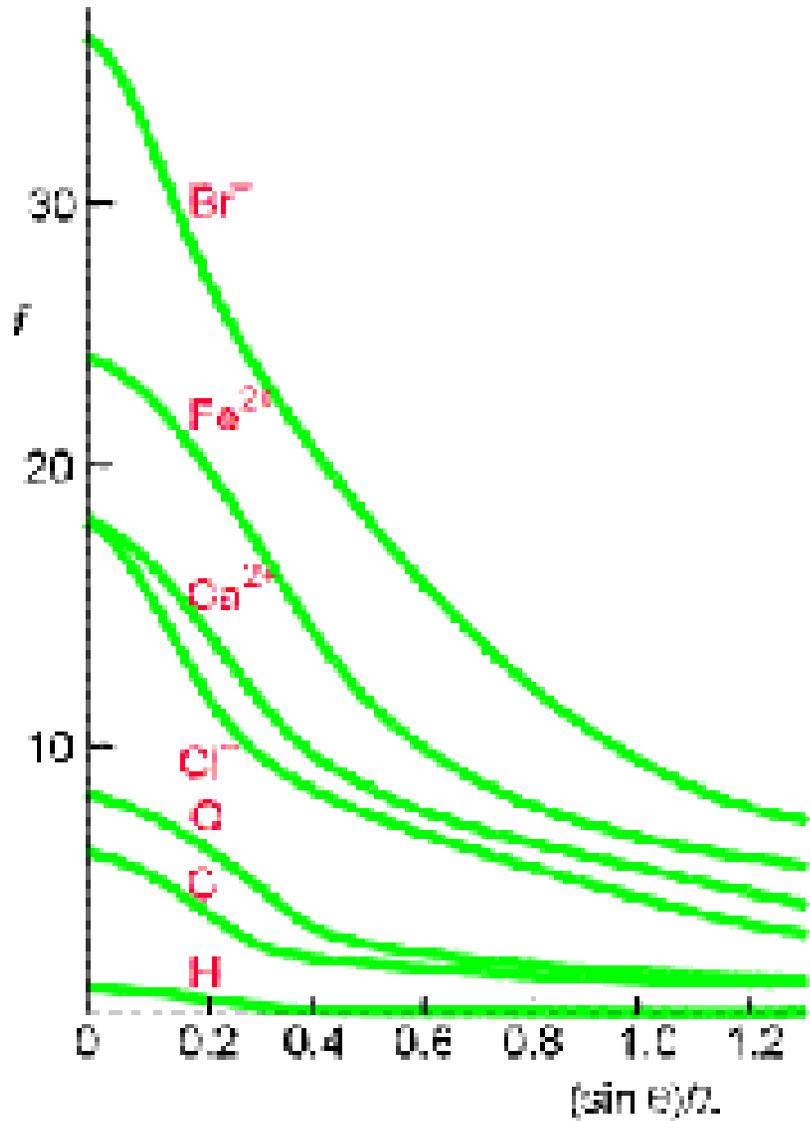
$$E_n(\mathbf{S}) = e^{2in\mathbf{S}\cdot\mathbf{a}} F(\mathbf{S})$$

$$F_{\text{Tot}}(\mathbf{S}) = \sum_{n=-N}^N E_n(\mathbf{S}) = F(\mathbf{S}) \sum_n e^{2\pi in\mathbf{S}\cdot\mathbf{a}}$$

$$\sum_{n=-N}^N e^{2\pi in\mathbf{S}\cdot\mathbf{a}} \longrightarrow \left[ f(\mathbf{S}) \frac{e^{-2\pi i N \mathbf{S}\cdot\mathbf{a}} (1 - e^{2\pi i (2N+1) \mathbf{S}\cdot\mathbf{a}})}{(1 - e^{2\pi i \mathbf{S}\cdot\mathbf{a}})} \right]$$

**Fringe function  
of the array**

# Atomic Form Factors



# Periodic Array of $2N+1$ Identical Atoms

$$F_{\text{Tot}}(\mathbf{S}) = f(\mathbf{S}) \frac{e^{-2\pi i N \mathbf{S} \cdot \mathbf{a}} (1 - e^{2\pi i (2N+1) \mathbf{S} \cdot \mathbf{a}})}{(1 - e^{2\pi i \mathbf{S} \cdot \mathbf{a}})}$$

**Multiplying both numerator and denominator by  $e^{-\pi i \mathbf{S} \cdot \mathbf{a}}$**

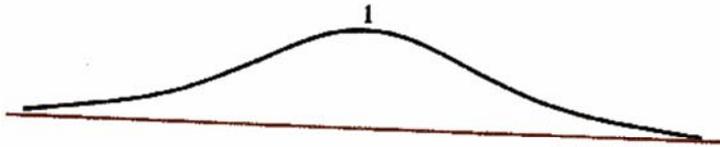
$$F_{\text{Tot}}(\mathbf{S}) = f(\mathbf{S}) \frac{e^{-\pi i (2N+1) \mathbf{S} \cdot \mathbf{a}} - e^{\pi i (2N+1) \mathbf{S} \cdot \mathbf{a}}}{e^{-\pi i \mathbf{S} \cdot \mathbf{a}} - e^{\pi i \mathbf{S} \cdot \mathbf{a}}}$$
$$= f(\mathbf{S}) \frac{\sin[(2N+1)\pi \mathbf{S} \cdot \mathbf{a}]}{\sin(\pi \mathbf{S} \cdot \mathbf{a})}$$

$$I_{\text{Tot}}(\mathbf{S}) = |F_{\text{Tot}}(\mathbf{S})|^2 = |f(\mathbf{S})|^2 \left\{ \frac{\sin[(2N+1)\pi \mathbf{S} \cdot \mathbf{a}]}{\sin(\pi \mathbf{S} \cdot \mathbf{a})} \right\}^2$$

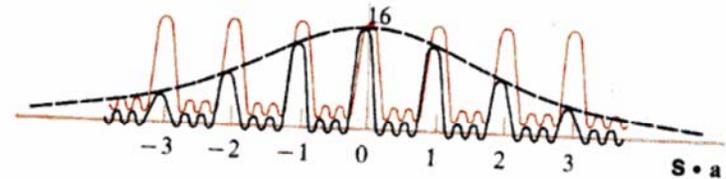
# Fringe Function and Diffraction

$$I_{\text{Tot}}(\mathbf{S}) = |F_{\text{Tot}}(\mathbf{S})|^2 = |f(\mathbf{S})|^2 \left\{ \frac{\sin[(2N+1)\pi\mathbf{S}\cdot\mathbf{a}]}{\sin(\pi\mathbf{S}\cdot\mathbf{a})} \right\}^2 \leftarrow \text{Fringe function}$$

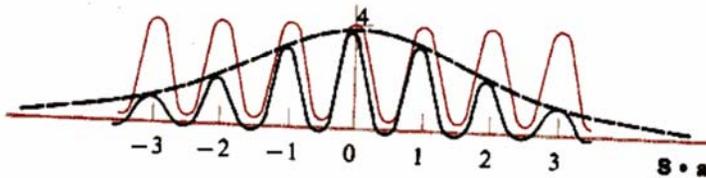
**N=1**



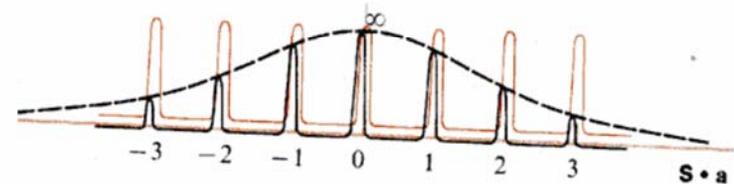
**N=16**



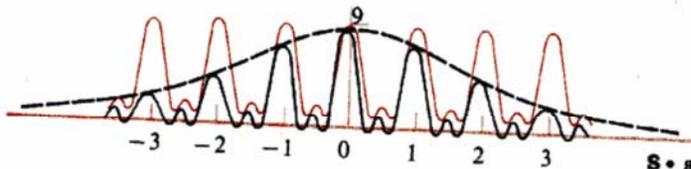
**N=4**



**N=∞**



**N=9**



**Plots:**

1.  $I = 0$  everywhere except integral  $\mathbf{S}\cdot\mathbf{a}$
2.  $I_{\text{max}}$  only when  $\mathbf{S}\cdot\mathbf{a} = 0$   
i.e.  $\mathbf{S}$  is in a plane  $\perp$  to the long atomic axis

# Condition for Diffraction Maximum

$$I_{\text{Tot}}(\mathbf{S}) = |F_{\text{Tot}}(\mathbf{S})|^2 = |f(\mathbf{S})|^2 \left\{ \frac{\sin[(2N+1)\pi\mathbf{S}\cdot\mathbf{a}]}{\sin(\pi\mathbf{S}\cdot\mathbf{a})} \right\}^2$$

Usually, when  $0.1 < |\sin(\pi\mathbf{S}\cdot\mathbf{a})| \leq 1.0$

the value of  $\sin[(2N+1)\pi\mathbf{S}\cdot\mathbf{a}]$  oscillates between 0 and 1. Then

$$-10 \leq \frac{\sin[(2N+1)\pi\mathbf{S}\cdot\mathbf{a}]}{\sin(\pi\mathbf{S}\cdot\mathbf{a})} \leq 10.$$

# Condition for Diffraction Maximum

$$I_{\text{Tot}}(\mathbf{S}) = |\mathbf{F}_{\text{Tot}}(\mathbf{S})|^2 = |f(\mathbf{S})|^2 \left\{ \frac{\sin[(2N+1)\pi\mathbf{S}\cdot\mathbf{a}]}{\sin(\pi\mathbf{S}\cdot\mathbf{a})} \right\}^2$$

**But when  $\sin(\pi\mathbf{S}\cdot\mathbf{a}) \rightarrow 0$ , using the expansion for  $\sin(x)$ , the ratio becomes  $(2N+1)$  which is very large for macromolecules.**

**Thus,  $I_{\text{Tot}}(\mathbf{S})$  is large only when**

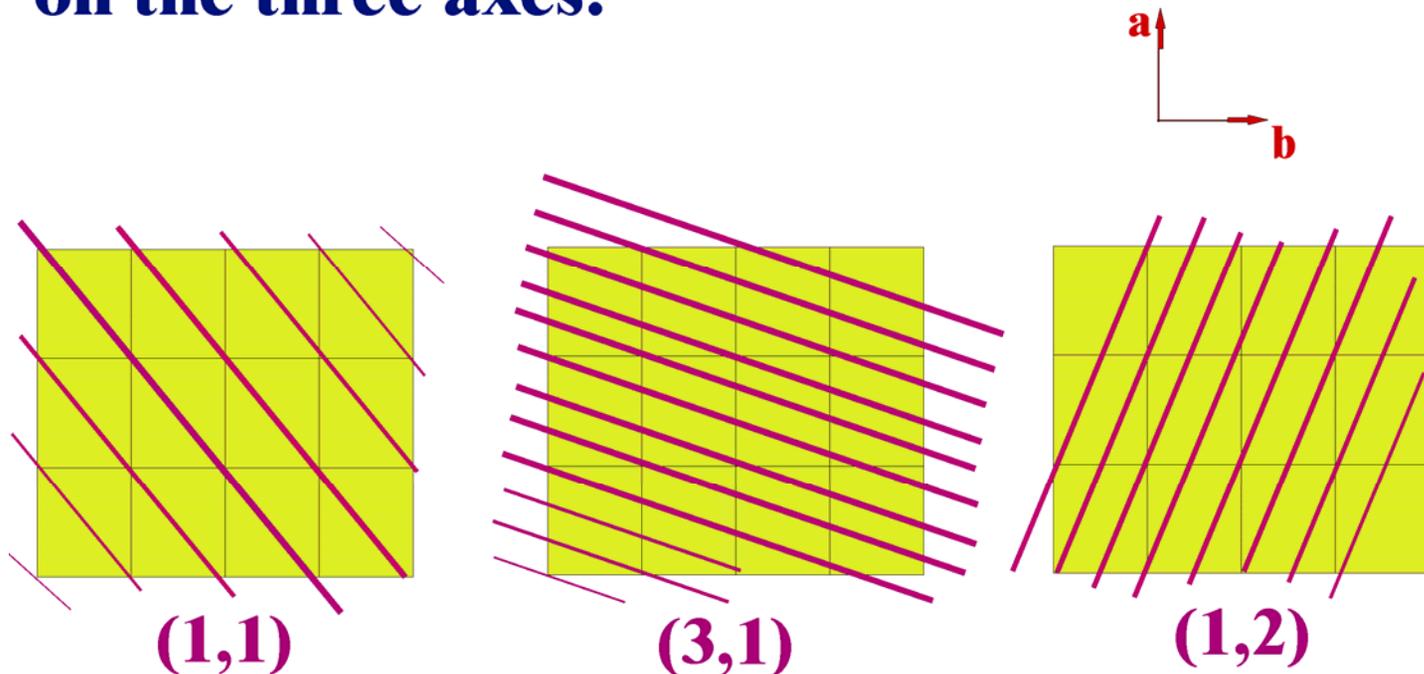
$$\mathbf{S}\cdot\mathbf{a} = n \quad \text{where } n \text{ is } 0, 1, 2\dots$$

**von Laue condition**

# Miller Indices in a Lattice

## Miller indices $(h,k,l)$

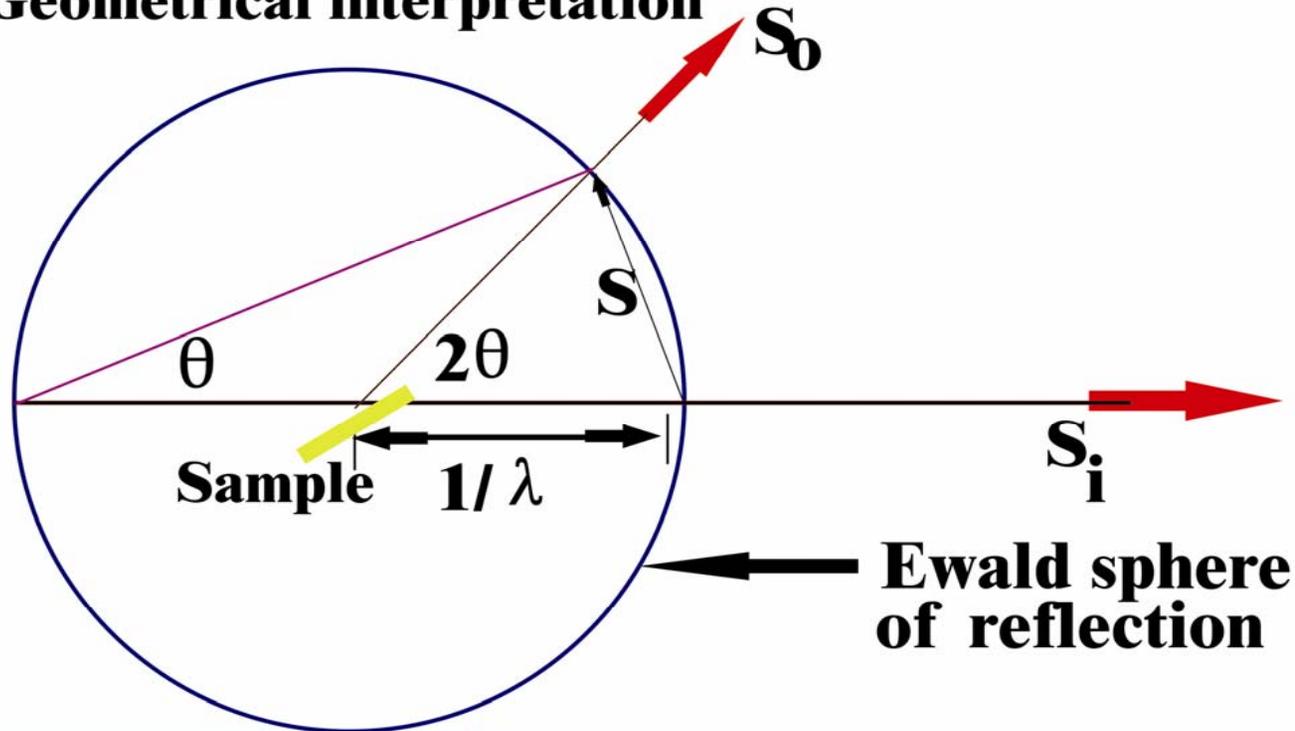
**Indices that characterize a set of parallel planes having intercepts  $a/h$ ,  $b/k$  and  $c/l$  on the three axes.**



# Two Conditions for Diffraction

1.  $|\mathbf{S}| = 2 |\sin \theta| / \lambda$

**Geometrical interpretation**



$$\sin \theta = \frac{|\mathbf{S}|}{2/\lambda}$$

**Scattering vectors that satisfy this condition diffract.**

# Two Conditions for Diffraction

## 2. Geometric interpretation of von Laue condition

$$I_{\text{Tot}}(\mathbf{S}) = |\mathbf{F}_{\text{Tot}}(\mathbf{S})|^2 = |\mathbf{f}(\mathbf{S})|^2 \left\{ \frac{\sin[(2N+1)\pi\mathbf{S}\cdot\mathbf{a}]}{\sin(\pi\mathbf{S}\cdot\mathbf{a})} \right\}^2$$

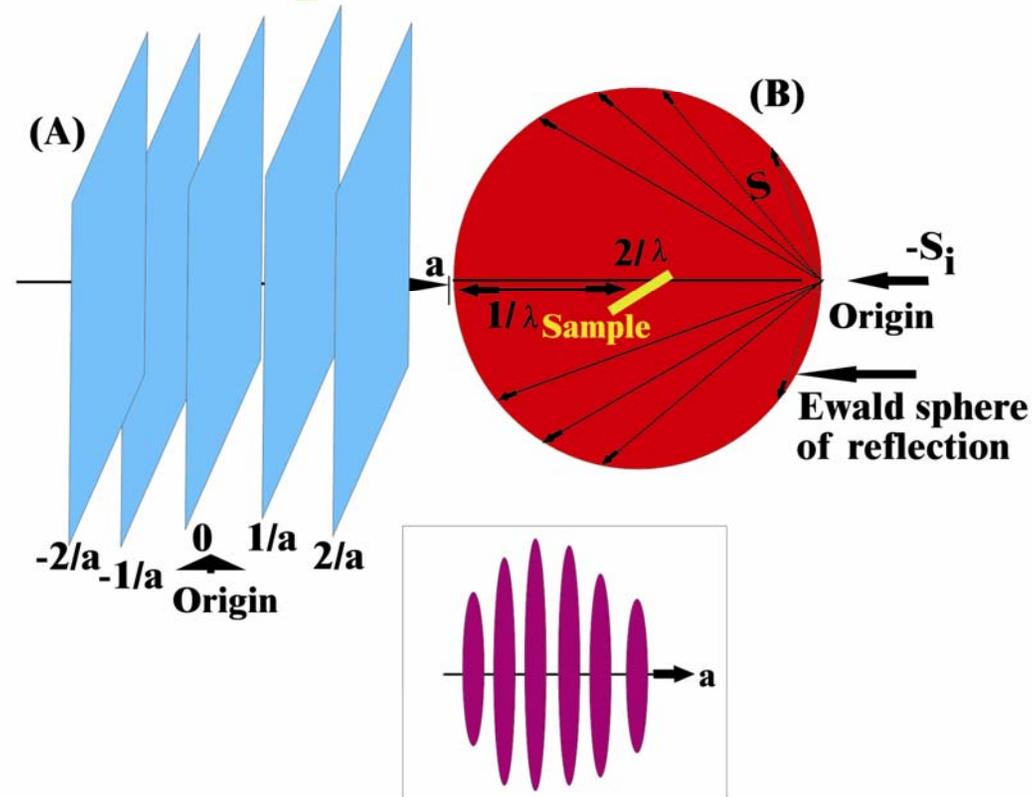
$\mathbf{S}\cdot\mathbf{a} = n$  where  $n$  is 0, 1, 2...

**von Laue condition**

# Visualizing the Two Conditions for Diffraction

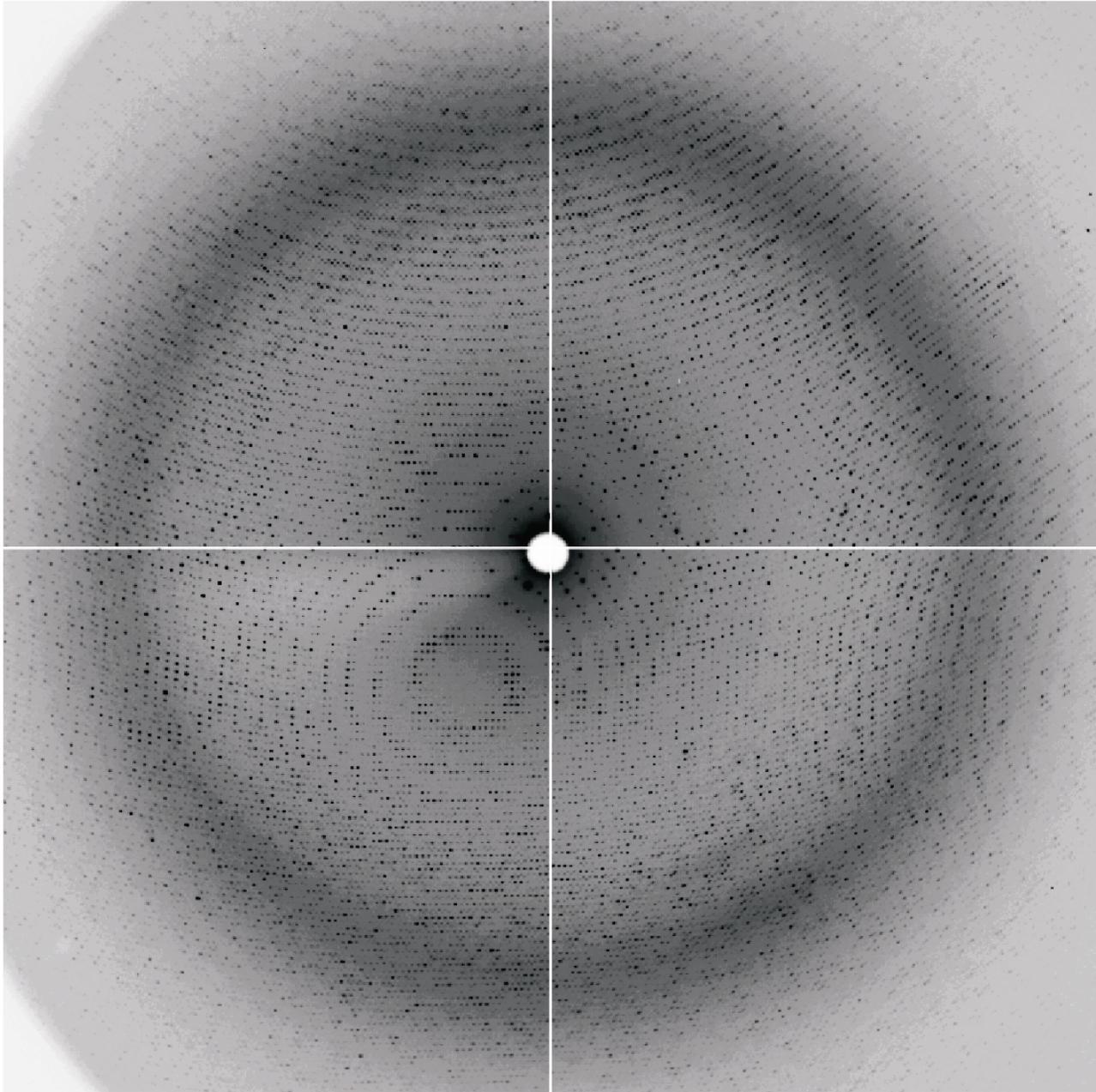
**Diffracted intensities are observed only when both the sphere of reflection and the von Laue conditions are satisfied together**

## Geometric interpretation



**Intersection of (A) and (B)**

# Diffraction Pattern

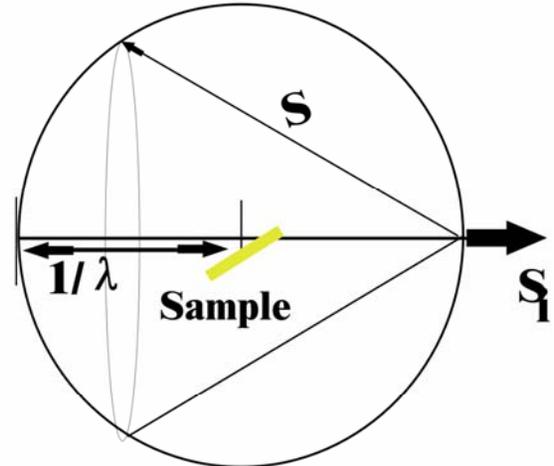


# Observable Part of Ewald Sphere

**Satisfy both sphere of reflection and von Laue conditions**

**If the wavelength  $\lambda$  and the incident direction  $S_1$  are fixed, only a limited portion of the Ewald sphere can diffract. Limiting sphere:  $2/\lambda$**

**To increase the diffracting region, the incident direction and/or the wavelength needs to be changed. ■**



**Leads to many experimental data collection strategies.**

# Scattering in 3 Dimensions

$$\mathbf{1-d: F(\mathbf{S})} = \sum_n^{\text{atoms}} \mathbf{f}_n(\mathbf{S}) e^{2\pi i \mathbf{S} \cdot \mathbf{x}_n} \quad (\text{Single summation over } \mathbf{x})$$

$$\mathbf{3-d: F(\mathbf{S})} = \sum_n^{\text{atoms}} \mathbf{f}_n(\mathbf{S}) e^{2\pi i \mathbf{S} \cdot (\mathbf{x}_n \mathbf{a} + \mathbf{y}_n \mathbf{b} + \mathbf{z}_n \mathbf{c})}$$

(Triple summation over  $\mathbf{x}$ ,  $\mathbf{y}$  &  $\mathbf{z}$ )

Using von Laue conditions  $\mathbf{S} \cdot \mathbf{a} = h$  etc. ,

$$\mathbf{F(\mathbf{S})} = \sum_n^{\text{atoms}} \mathbf{f}_n(\mathbf{S}) e^{2\pi i (h x_n + k y_n + l z_n)}$$

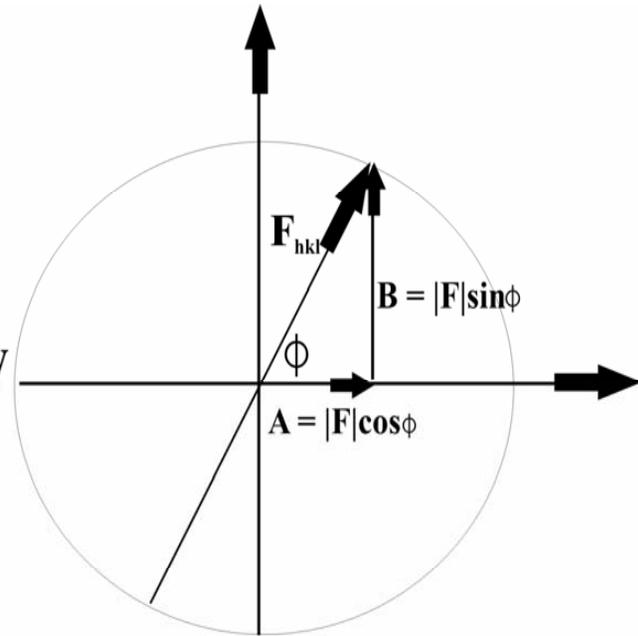
$$\mathbf{F(\mathbf{S})} = \sum_x \sum_y \sum_z \mathbf{f(\mathbf{S})} e^{2\pi i (hx + ky + lz)} \quad (\text{Fourier series and not a transform})$$

$$\rho(\mathbf{x}, \mathbf{y}, \mathbf{z}) = \frac{1}{N\mathbf{V}} \sum_x \sum_y \sum_z \mathbf{F(\mathbf{S})} e^{-2\pi i (hx + ky + lz)}$$

# Argand Diagram of Structure Factors

$$|\mathbf{F}| = \left[ \mathbf{A}^2 + \mathbf{B}^2 \right]^{1/2} \quad : \text{Measured experimentally}$$

$$\phi = \tan^{-1} (\mathbf{B}/\mathbf{A}) \quad : \text{Unknown}$$



The circle indicates that  $\mathbf{F}$  is a vector quantity with an amplitude and a phase

**Signs of both  $\mathbf{A}$  and  $\mathbf{B}$  important in determining the magnitude and quadrant of  $\phi$**

# Crystal Lattice and Convolution

## 1-d convolution

$$\widehat{fg}(u) = \int_{-\infty}^{\infty} dx f(x)g(u-x) = \widehat{gf}(u)$$

If  $g(x) = \delta(x-a)$ :  $\widehat{f\delta}(u) = f(u+a)$

**Thus the convolution of  $f(x)$  with  $\delta(x-a)$  just shifts  $f(x)$  by a distance  $a$ .**

# Crystal Lattice and Convolution

## Periodic lattice:

$$\text{Lattice function } \mathbf{L}(\mathbf{x}) = \sum_{n=-\infty}^{\infty} \delta(\mathbf{x}-n\mathbf{a})$$

$$\text{Crystal} = \widehat{\mathbf{L} \rho}(\mathbf{u}) = \sum_{n=-\infty}^{\infty} \rho(\mathbf{u}+n\mathbf{a})$$

(1-d)

## 3-d convolution

$$\mathbf{L}(\mathbf{r}) = \sum_{n=-\infty}^{\infty} \delta(\mathbf{r}-n\mathbf{a}-m\mathbf{b}-p\mathbf{c})$$

$$\text{Crystal} = \widehat{\mathbf{L} \rho}(\mathbf{u}) = \sum_{m,n,p=-\infty}^{\infty} \rho(\mathbf{u}+n\mathbf{a}+m\mathbf{b}+p\mathbf{c})$$

(3-d)

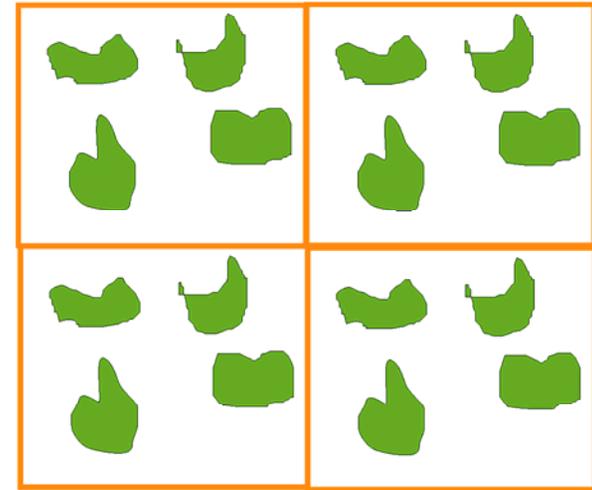
**Crystal = Convolution of unit cell with lattice**

# Unit Cell and Symmetry

**Lattice: repetition of unit cells by pure translation**

## **Contents of the unit cell:**

**Contents cannot be arbitrarily arranged but there must be an asymmetric unit that is rotated (and possibly fractionally translated) to generate the complete unit cell contents**



**2-d lattice**

**Possible rotational symmetry among the asymmetric units**

**1, 2, 3, 4, 6-fold: 5-fold not allowed by translational symmetry**

**Mirror reflection not allowed in biological molecules**

**Fractional translations:  $\frac{1}{2}$ ,  $\frac{1}{3}$ ,  $\frac{2}{3}$ ,  $\frac{1}{4}$ ,  $\frac{3}{4}$ ,  $\frac{1}{6}$ ,.....**

**of the unit cell dimensions**

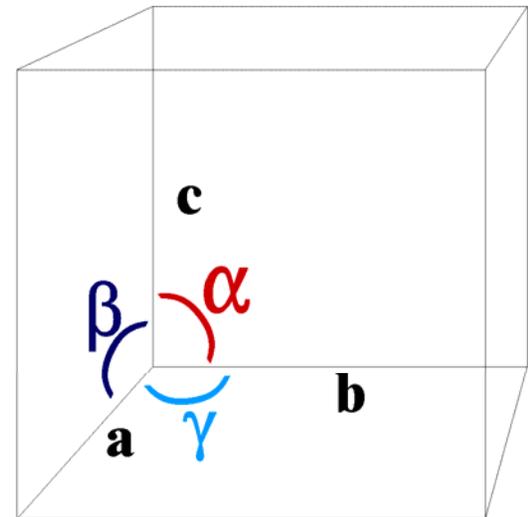
# Unit Cell Types Determined by Symmetry

**Point groups:** All possible rotations and reflections among the asymmetric units

**32 Point groups**

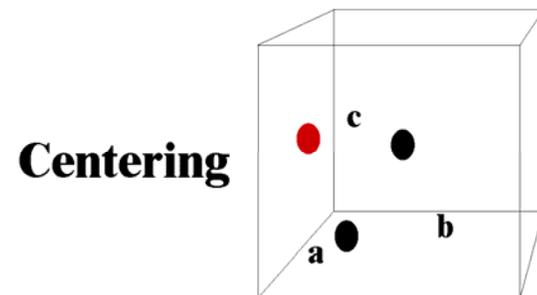
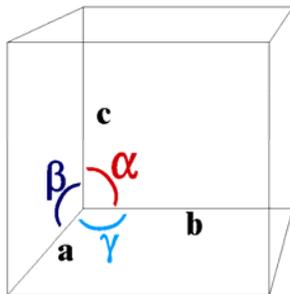
**Crystal systems:** Point groups + translation symmetry restricts types of the unit cell possible (7 crystal systems)

**Restrictions on the unit cell lengths and angles**

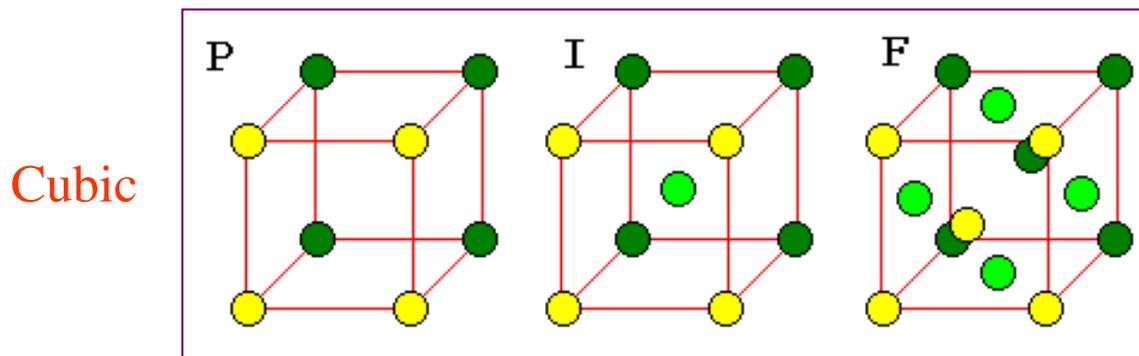
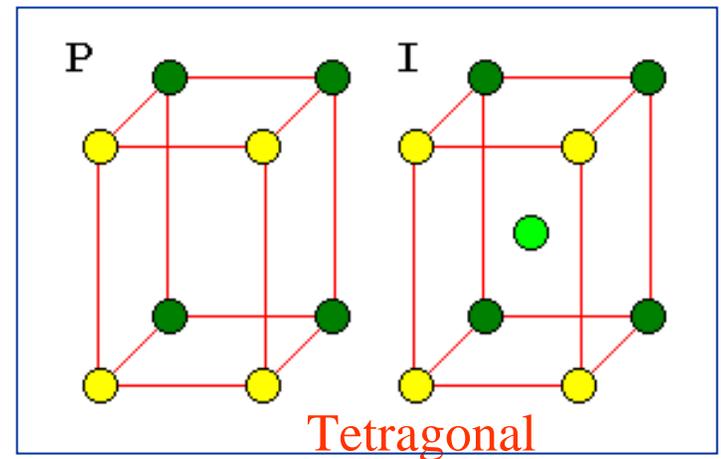
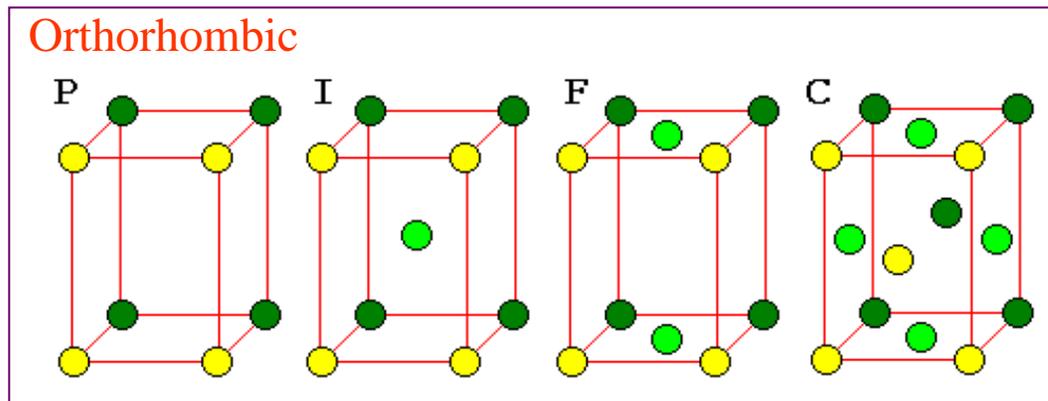
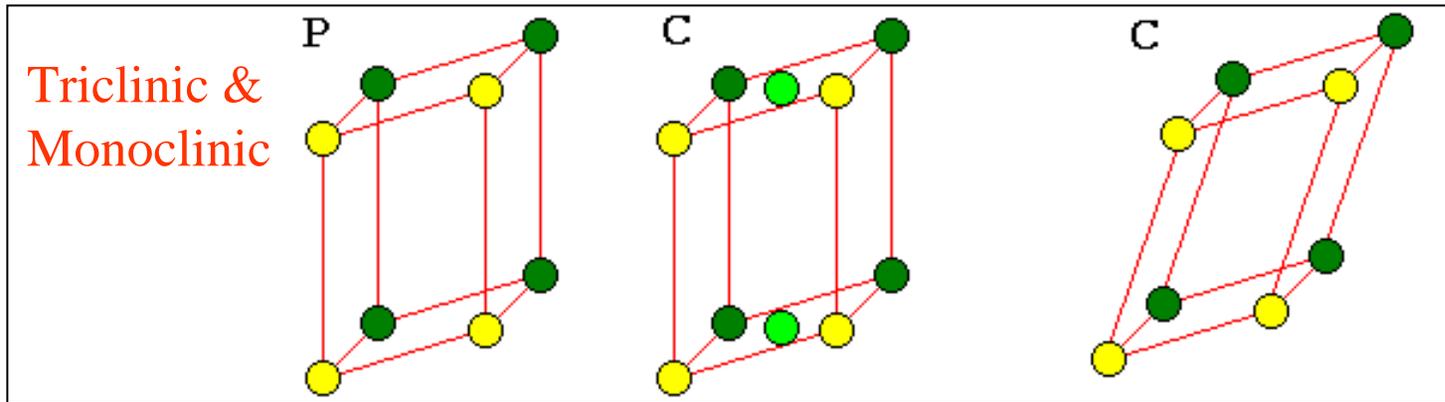


# 7 Crystal Systems and 14 Bravais Lattices

System	Lattice	Min symmetry	Unit cell
Triclinic	P	None (1-fold)	$a \neq b \neq c$ $\alpha \neq \beta \neq \gamma$
Monoclinic	P	2-fold along b	$a \neq b \neq c$ $\alpha \neq \gamma$ $\beta \neq 90$
Orthorhombic	P, C, I, F	2-folds along a,b,c	$a \neq b \neq c$
Tetragonal	P, I	4-fold along c	$a = b \neq c$
Trigonal/ Rhombohedral	R, P	3-fold along c	$a = b = c$ $\alpha = \beta = \gamma \neq 90$
Hexagonal	P	6-fold along c	$a = b \neq c$ $\alpha = \beta = 90$ $\gamma = 120$
Cubic	P, I, F	3-fold along body diagonals	$a = b = c$



# Different Lattices and Centering



# Applying a Screw Operation

2-fold through origin:

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & -1 \end{pmatrix} \quad \begin{matrix} x, y, z \\ x, -y, -z \end{matrix}$$

2-fold screw axis  $2_1$



symbol when axis is perpendicular to the page



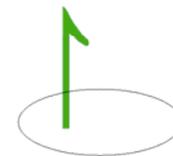
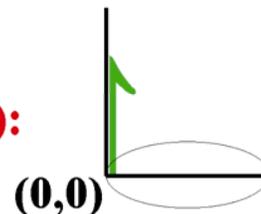
symbol when axis is parallel to the page

$2_1$  along [001] and passing through origin:

$$(x, y, z) \quad (-x \ -y \ \frac{1}{2} + z)$$

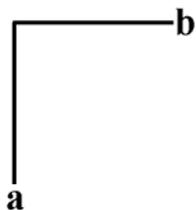
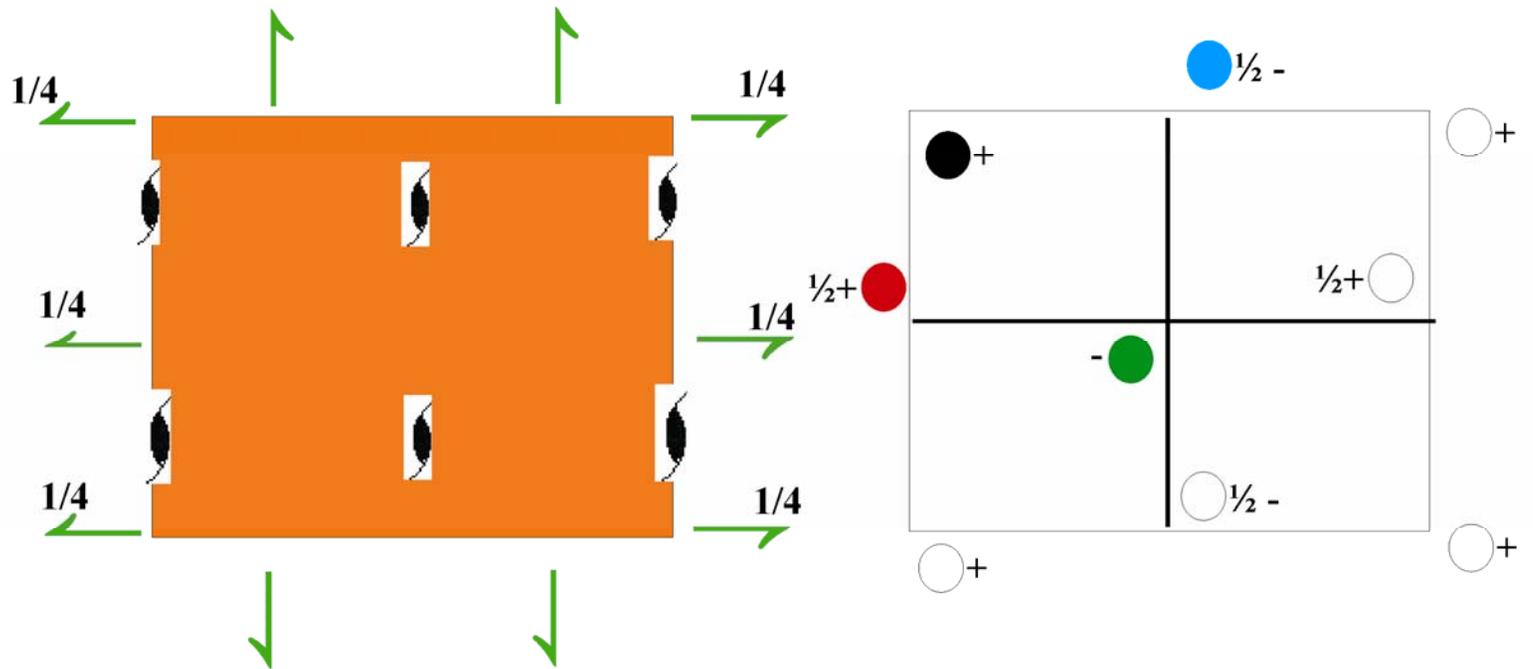
$2_1$  along [001] and passing through  $(1/4, 0, 0)$ :

$$(x, y, z) \quad (\frac{1}{2} - x \ -y \ \frac{1}{2} + z)$$



(1/4,0)

# Example of a Space Group

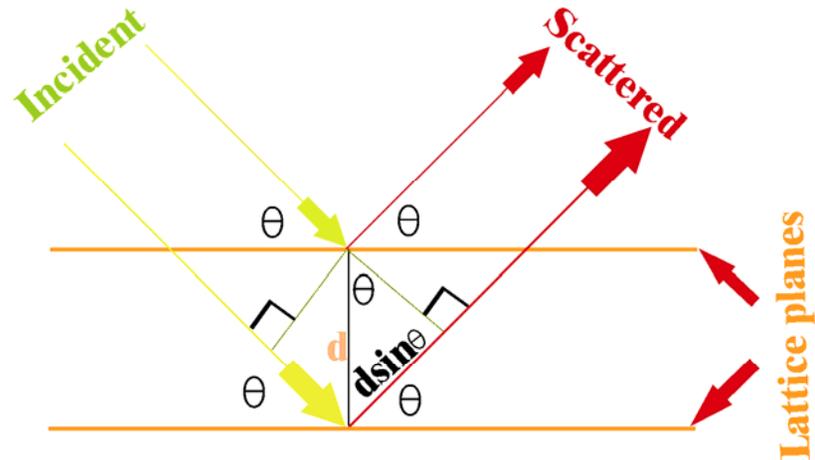


$$(x,y,z) \quad \left(\frac{1}{2} - x, -y, z + \frac{1}{2}\right) \quad (-x, y + \frac{1}{2}, -z + \frac{1}{2}) \quad \left(x + \frac{1}{2}, -y + \frac{1}{2}, -z\right)$$

**P2<sub>1</sub>2<sub>1</sub>2<sub>1</sub> from International tables (#19)**

# Bragg's Law of Diffraction

**The atoms in a crystal can be considered as a series of parallel planes.**



**To observe diffraction, path difference between reflected beams from adjacent planes must be an integral number of wavelengths**

$$2d\sin\theta = n\lambda$$

# Phase Solution

**Aim: Determine  $\phi_p$  for each reflection**

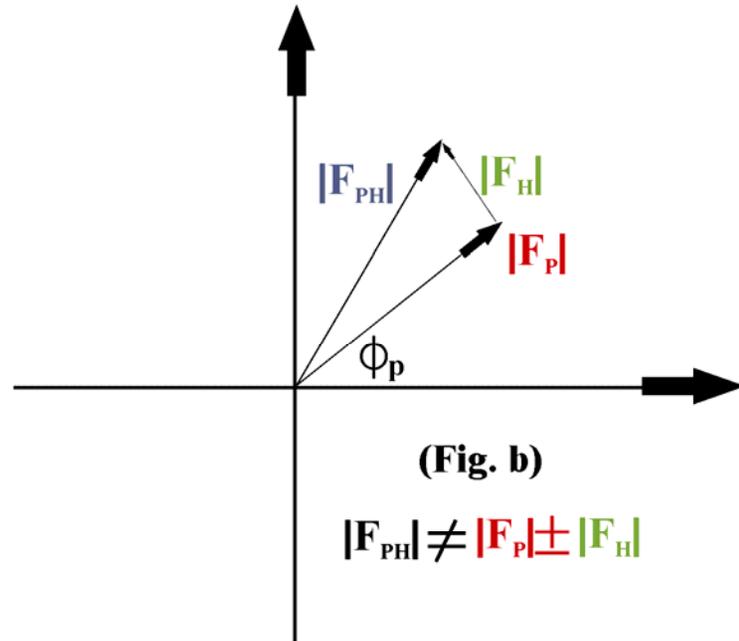
- Isomorphous replacement**
- Anomalous scattering**
- Molecular replacement**

(Note: A.s. not explained here, similar to I.r., but using special wavelengths to break Friedel symmetry, see [http://www.bmsc.washington.edu/scatter/AS\\_tutorial.html](http://www.bmsc.washington.edu/scatter/AS_tutorial.html))

# Isomorphous Replacement

$ F_{PH} $
$ F_P $ $ F_H $
$ F_{PH}  =  F_P  \pm  F_H $

(Fig. a)



Soak in heavy atoms into the crystal

If the derivatized structure remains similar, hope to get vector relationships between  $F_P$ ,  $F_H$  and  $F_{PH}$

Simple collinear relationship between the structure factors holds for only centro-symmetric reflections whose phases are 0 or  $\pi$  (Fig. a)

No simple relationship between the structure factors for the general non-centrosymmetric reflections (Fig. b)

# Patterson Functions to Locate Heavy Atoms

$$\rho(\mathbf{r}) = \int \mathbf{F}(\mathbf{S}) e^{-2\pi i \mathbf{S} \cdot \mathbf{r}} d\mathbf{r}$$

$$\mathbf{P} = \int \mathbf{I}(\mathbf{S}) e^{-2\pi i \mathbf{S} \cdot \mathbf{r}} d\mathbf{r}$$

$$= \int \mathbf{F}^*(\mathbf{S}) \mathbf{F}(\mathbf{S}) e^{-2\pi i \mathbf{S} \cdot \mathbf{r}} d\mathbf{r}$$

$$= \overbrace{\rho(\mathbf{r}) \rho(-\mathbf{r})}$$

**The Patterson is a convolution of the electron density with its image that is inverted through the origin**

# Patterson are Inter-Atomic Distance Maps

$$\begin{aligned} \mathbf{I}_h \propto |\mathbf{F}_h|^2 &= \sum_j^N \mathbf{f}_j e^{2\pi i \mathbf{h} \cdot \mathbf{r}_j} \sum_k^N \mathbf{f}_k e^{-2\pi i \mathbf{h} \cdot \mathbf{r}_k} \\ &= \sum_j^N \mathbf{f}_j \mathbf{f}_k e^{2\pi i \mathbf{h} \cdot (\mathbf{r}_j - \mathbf{r}_k)} \end{aligned}$$

**Thus, the Patterson functions, computed using the intensities as coefficients, map the inter-atomic vectors**

**In real space:  $\mathbf{P} = \int_{\text{unit cell}} \rho(\mathbf{r}) \rho(\mathbf{r}+\mathbf{u}) d^3\mathbf{r}$**



# Pattersons Determine Heavy Atom Vectors

For large N, Patterson maps become uninterpretable

## Orthorhombic $P2_12_12_1$ :

$(x,y,z)$ ;  $(\frac{1}{2}-x,-y,\frac{1}{2}+z)$ ;  $(\frac{1}{2}+x,\frac{1}{2}-y,-z)$ ;  $(-x,\frac{1}{2}+y,\frac{1}{2}-z)$

**Difference Patterson:**

**Coefficients:**  $|F_D|^2 - |F_N|^2$

**Peaks at inter-atomic vectors U,V,W:**

U	V	W	Peak type
0.404	0.453	0.5	Harker
0.5	0.048	0.199	Harker
0.42	0.108	0.986	General (NH)

**Patterson peaks:**

$\frac{1}{2}-2x$	$-2y$	$\frac{1}{2}$ (H)
$\frac{1}{2}$	$\frac{1}{2}-2y$	$-2z$ (H)
$2x$	$\frac{1}{2}$	$-\frac{1}{2}-2z$ (H)
$-2x$	$\frac{1}{2}$	$\frac{1}{2}-2z$ (H)
$-\frac{1}{2}$	$\frac{1}{2}+2y$	$-2z$ (H)
$-\frac{1}{2}-2x$	$2y$	$\frac{1}{2}$ (H)

**H:** Harker section peak

**Solve for x,y & z using the Harker peaks:**

**$x = 0.048$  ;  $y = 0.774$  ;  $z = -0.099 = 0.900$  (translate by 1.0)**

**These are the heavy atom coordinates**

# Patterson Heavy Atom Peaks May Solve Structure

**Hypothetical 2 molecule structure:  
(10 Carbon atoms + 1 Br atom) / molecule**

**Patterson peak  $ht \propto Z_A Z_B$**

**Br-Br peaks will be the highest**

**Determine the coordinate of Br**

$$\mathbf{F}_h = \mathbf{F}_h^{\text{known}} + \mathbf{F}_h^{\text{unknown}} \quad \leftarrow \text{(Due to rest of structure)}$$


**(due to the heavy atoms  
in the unit cell)**

**Compute partial electron density map, fill up  
the missing density and iterate**

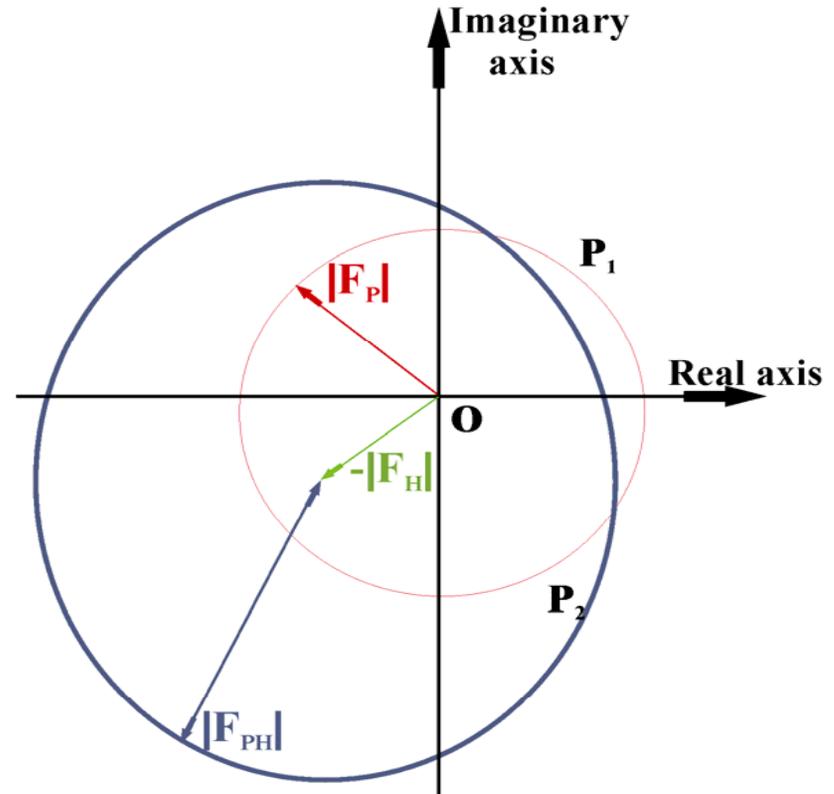
# Single Isomorphous Replacement (SIR)

For a given reflection  $hkl$   
(Vector addition of Sfs)

Known:  $|F_P|$ ,  $|F_H|$  and  $|F_{PH}|$

$|F_P|$  circle center:  
Origin  $O$

$|F_H|$  : contribution of  
heavy atom to  
structure factor  
amplitude



$P_1$  and  $P_2$ , the points of intersection of the two circles, represent the two possible values of the phases for the given reflection  $hkl$

$|F_{PH}|$  circle centered  
about the end of  
the vector  $-|F_H|$

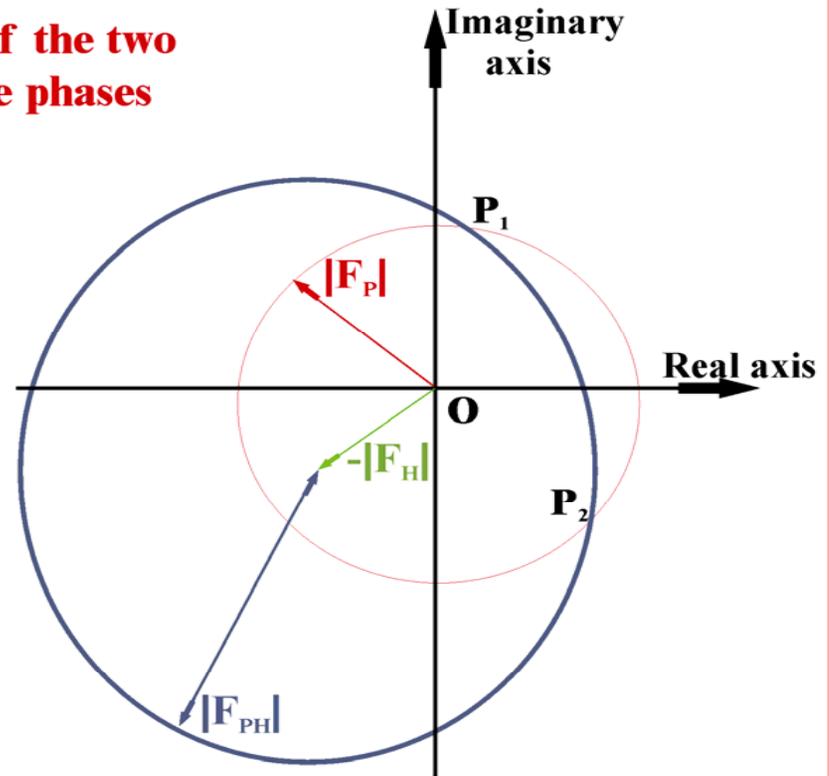
# Resolving Phase Ambiguities in SIR

$P_1$  and  $P_2$ , the points of intersection of the two circles, represent the two values of the phases for the given reflection  $hkl$

**How to choose the best phase?**

◇  $\overline{P_1 + P_2}$

**(Large phase error if  $F_h$  is relatively small)**



**Prepare multiple heavy-atom derivatives**

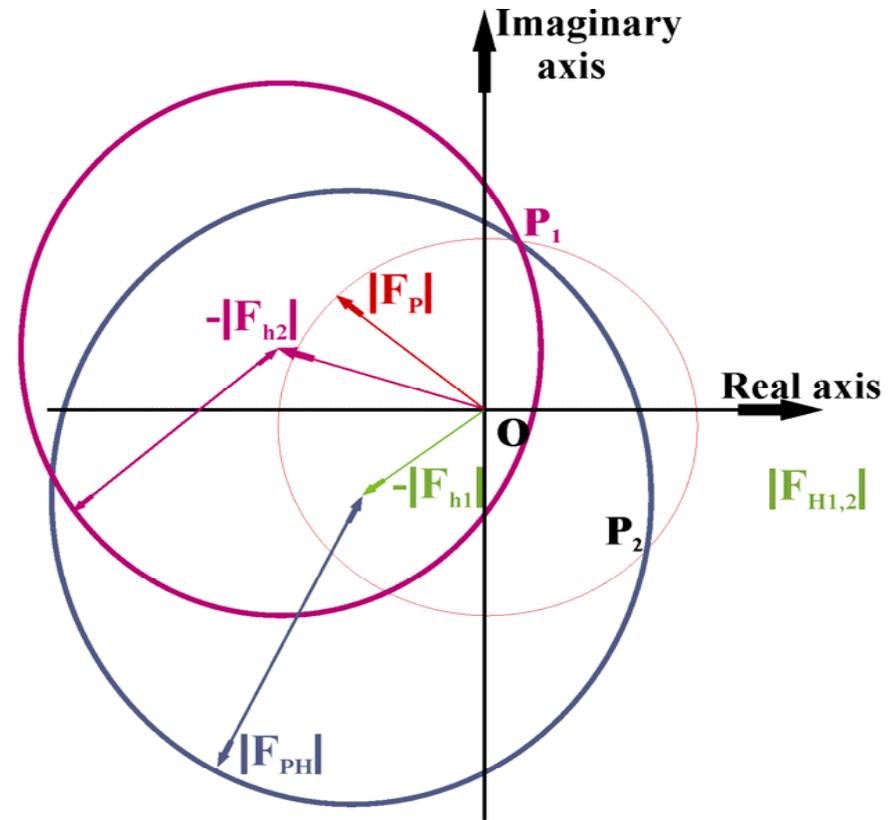
# Multiple Isomorphous Replacement (MIR)

**Known:**  $|F_P|$ ,  $|F_{H1,2}|$  and  $|F_{PH1,2}|$

**Contribution of 2 separate heavy atoms to the structure factor amplitude**

$P_1$  represents the only possible phase for the given reflection  $hkl$

$|F_{PH1,2}|$  circles centered about the ends of the vectors  $-|F_{H1,2}|$



# Errors in MIR Phases

## Assumptions:

1. Ideal isomorphism
2. Exact heavy atom positions

## But

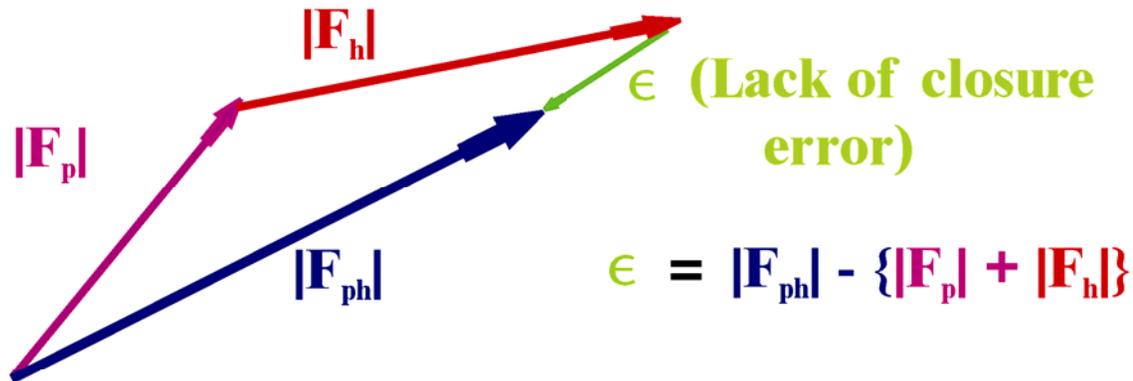
1. Random and systematic errors in measurement of intensities
2. Errors in estimation of heavy atom positions
3. Errors due to lack of isomorphism

**How to treat these errors?**

# Errors in MIR Phases

## Assumptions:

1. All errors are Gaussian
2. Errors in heavy atom position and that due to lack of isomorphism can be considered together



$\sigma_{\text{exp}}$ : Errors in experimental observations

$\sigma_{\text{fh}}$ : Combined errors in  $F_h$  and lack of isomorphism

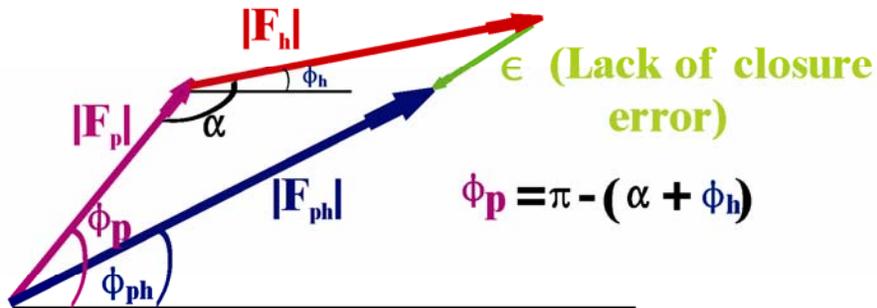
# Errors in MIR Phases

**Total error in  $F_{PH}$ :  $\sigma = [\sigma_{\text{exp}}^2 + \sigma_{\text{fh}}^2]^{1/2}$**

**$\sigma_{\text{exp}}$ : Errors in experimental observations**

**$\sigma_{\text{fh}}$ : Combined heavy atom position and lack of isomorphism error**

# Errors in MIR Phases



**Probability distribution  $P(\phi) = C \exp(-\epsilon^2/2\sigma^2)$**

**where  $C$  is a normalization constant such that**

$$\int_0^{2\pi} P(\phi) d\phi = 1$$

# Errors in MIR Phases

**Probability distribution  $P(\phi) = C \exp(-\epsilon^2/2\sigma^2)$**

**Using**

$$\cos \phi = \frac{F_h^2 + F_p^2 - (F_{ph} + \epsilon)^2}{2F_h F_{ph}}$$

**it is possible to calculate the probability distribution for each phase of each derivative**

**For all derivatives**

$$P(\phi) = \prod_j P_j(\phi) = C \exp \sum_j (-\epsilon_j^2 / \sigma_j^2)$$

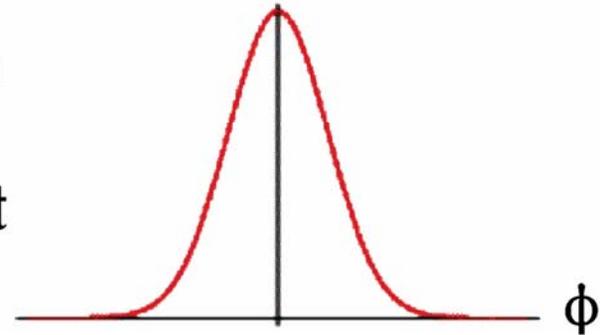
# Best MIR Phase

## Probability distribution of the MIR phases

$$P(\phi) = \prod_j P_j(\phi) = C \exp \sum_j (-\epsilon_j^2 / \sigma_j^2)$$

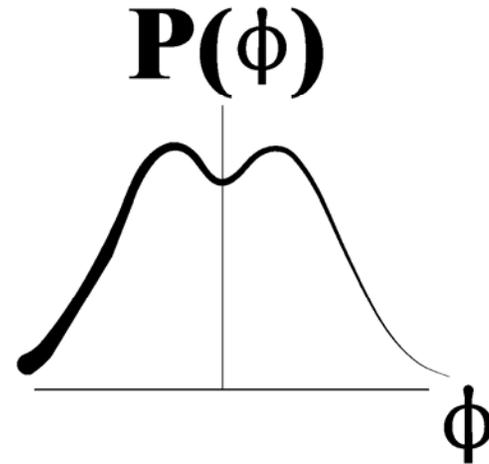
**Best phase for a given reflection?  $P(\phi)$**

**For a unimodal distribution, the phase angle  $\phi$  at which  $P(\phi)$  is maximum, is the best phase angle.**



# Best MIR Phase

Usually  $P(\phi)$  is bimodal, and the centroid of the phase probability gives the best phase



$$\phi_{\text{best}} = \frac{\int_0^{2\pi} P(\phi) \exp(i\phi) d\phi}{\int_0^{2\pi} P(\phi) d\phi}$$

# Figure of Merit of a Phase

$$\phi_{\text{best}} = \frac{\int_0^{2\pi} \mathbf{P}(\phi) \exp(i\phi) d\phi}{\int_0^{2\pi} \mathbf{P}(\phi) d\phi}$$

**The Figure-of-merit (m) of a phase is defined as the mean of the cosine of the phase error**

$$m = \frac{\sum \mathbf{P}(\phi_i) \cos(\phi_i)}{\sum \mathbf{P}(\phi_i)} = \langle \cos \Delta\phi_i \rangle$$

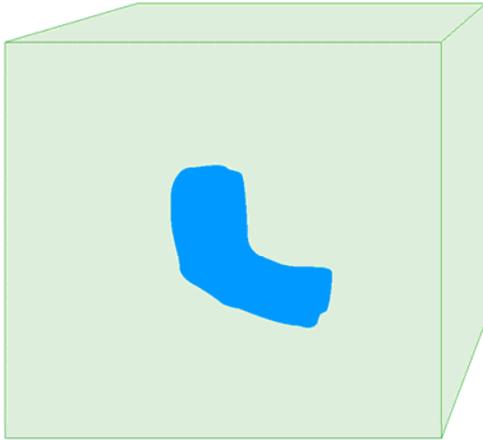
**where  $\Delta\phi_i = \phi_{\text{best}} - \phi_i$**

**The FOM weighted Fourier coefficient is  $m \mathbf{F} \exp i\phi_{\text{best}}$**

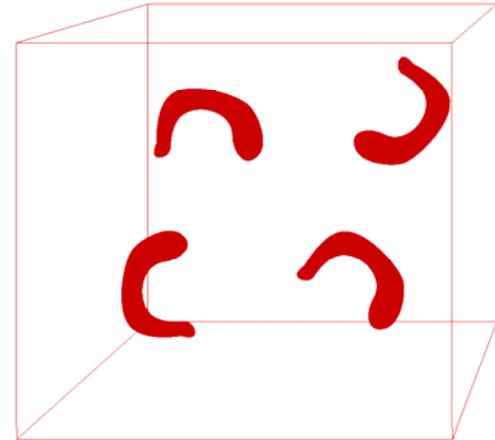
# Molecular Replacement

- Similar structure exists (sequence identity)  
MIR not required
- Orient the known structure as closely as possible to the unknown structure
- Place the known structure as correctly as possible
- Rotational and translational parameters will give a good set of starting phases

# Molecular Replacement

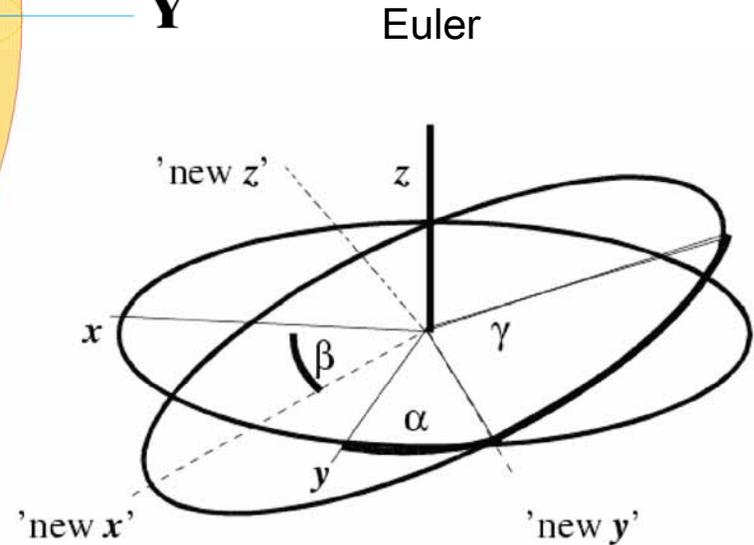
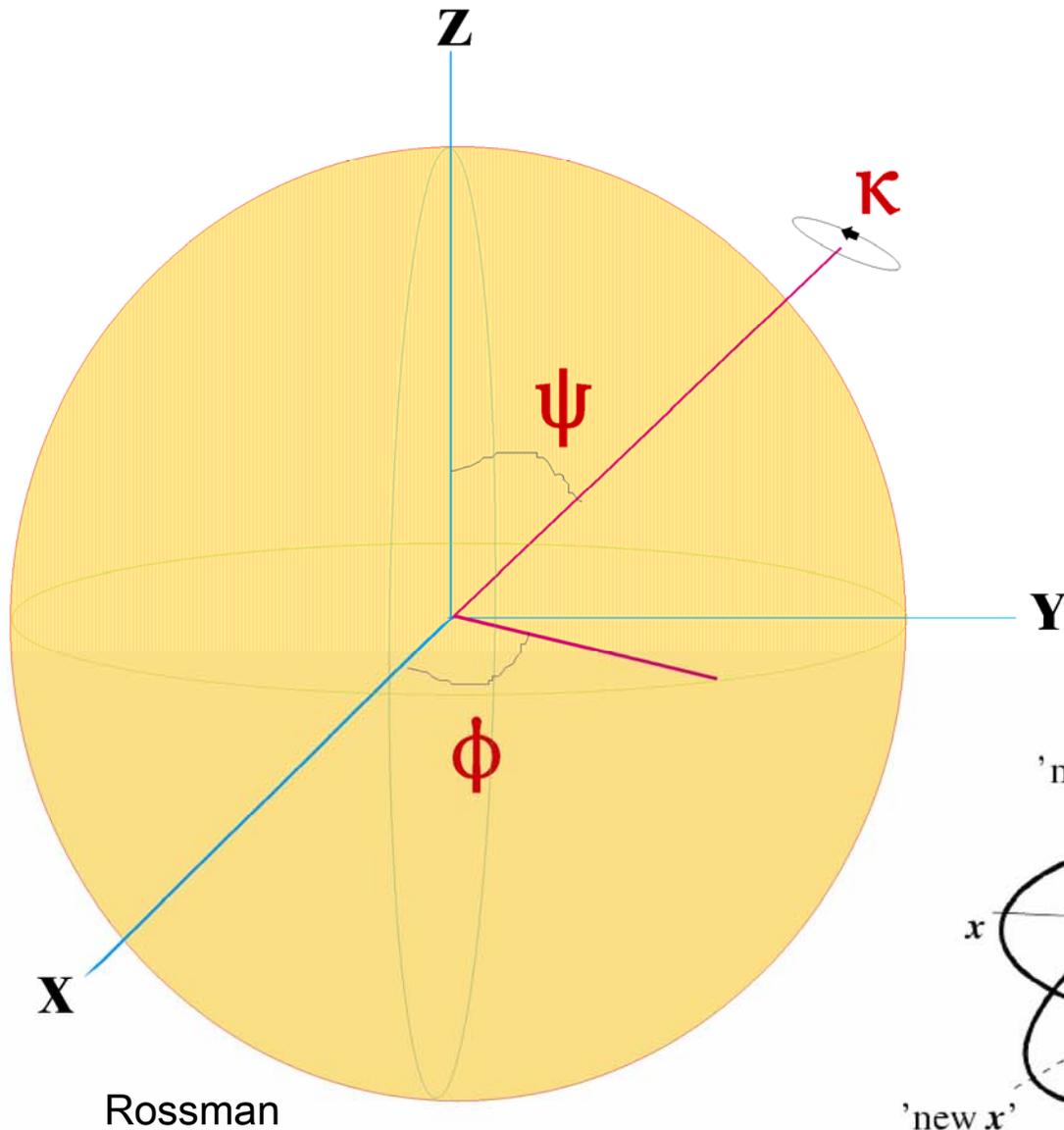


**Model**  
**Human lysozyme**



**Data**  
**Dinosaur lysozyme**

# Rotation Conventions



# Patterson Rotation Functions

$$R(\kappa, \phi, \psi) = \int_{r_{\min}}^{r_{\max}} P_{\text{data}}(\mathbf{u}) P_{\text{model}}(\kappa, \phi, \psi, \mathbf{u}) d\mathbf{u}$$

**model: Atomic model of known structure (Human lysozyme)**

**data: Unknown structure (Dinosaur lysozyme)**

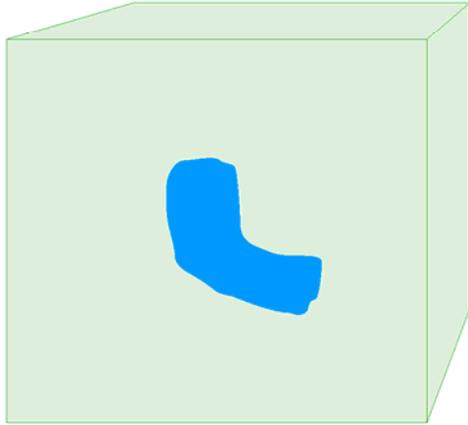
**Real space: Rotation of inter-atomic vectors**

**Reciprocal space: Convolution of SF \*\*2**

**Determine the best( $\kappa \phi \psi$ ) at which R shows a maximum**

**Orient the model through these angles**

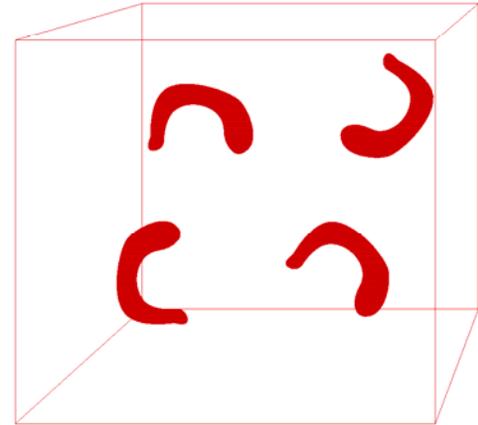
# Orientation of Unknown Molecule



**Model**

**Human lysozyme**

**One asymmetric unit in  
unit cell (P1 symmetry)**



**Data**

**Dinosaur lysozyme  
Crystallographic symmetry**

**Position of unknown molecule wrt symmetry axes?**

# Position of Molecule: Translation Function

$$T(\mathbf{u}) = \int P_{\text{data}}(\mathbf{u}) P_{\text{model}}(\mathbf{u}+\mathbf{r}) d\mathbf{u}$$

**model: Atomic model of known structure (Human lysozyme)**

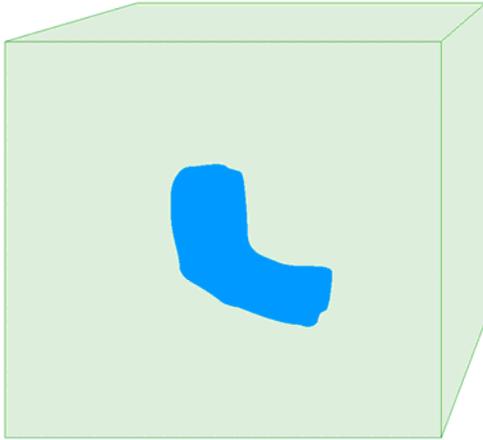
**data: Unknown structure (Dinosaurus lysozyme)**

**Real space: Rotation of inter-atomic vectors**

**Reciprocal space: Convolution of SF \*\*2**

**Determine the best position  $\mathbf{r}$  at which  $T$  shows a maximum for the oriented model**

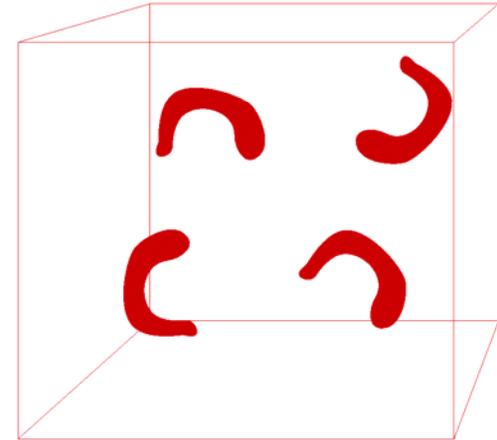
# Intra- and Inter-Molecular Vectors



**Model**

**Human lysozyme**

**One asymmetric unit in  
unit cell (P1 symmetry)**



**Data**

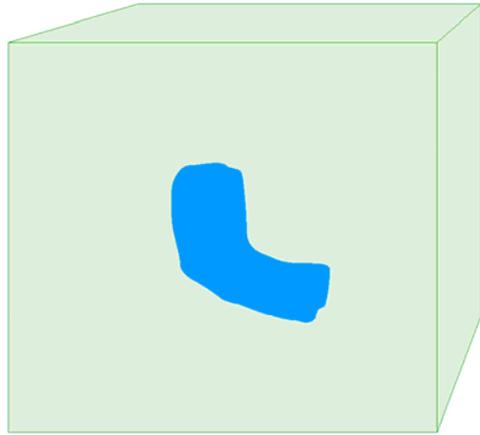
**Dinosaur lysozyme**

**Crystallographic symmetry**

**Rotation fn: Match intra-molecular vectors**

**Translation fn: Match inter-molecular vectors**

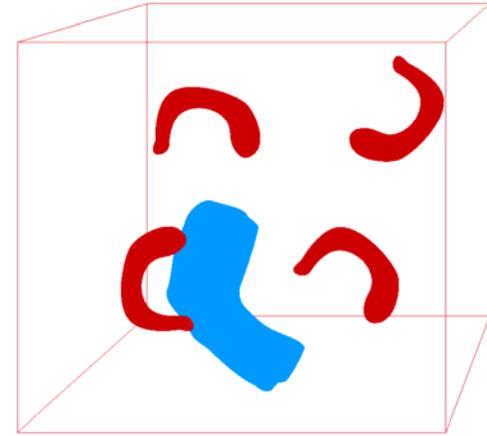
# Another Translation Function



**Model**

**Human lysozyme**

**One asymmetric unit in  
unit cell (P1 symmetry)**



**Data**

**Dinosaur lysozyme**

**Crystallographic symmetry**

**Packing function: Discrepancy between the calculated  
and observed SFs**

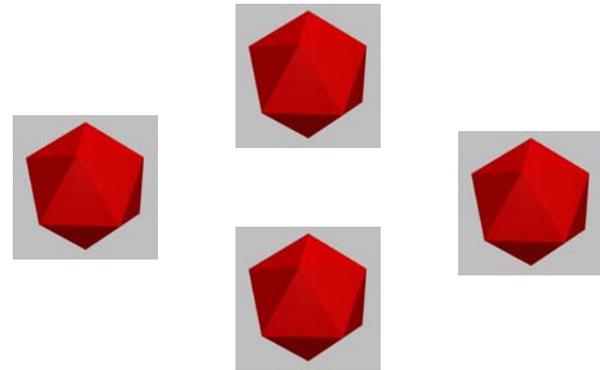
**Position which gives the minimum discrepancy factor  
is the best position of the molecule in the unit cell**

# Averaging Using Inherent Symmetry



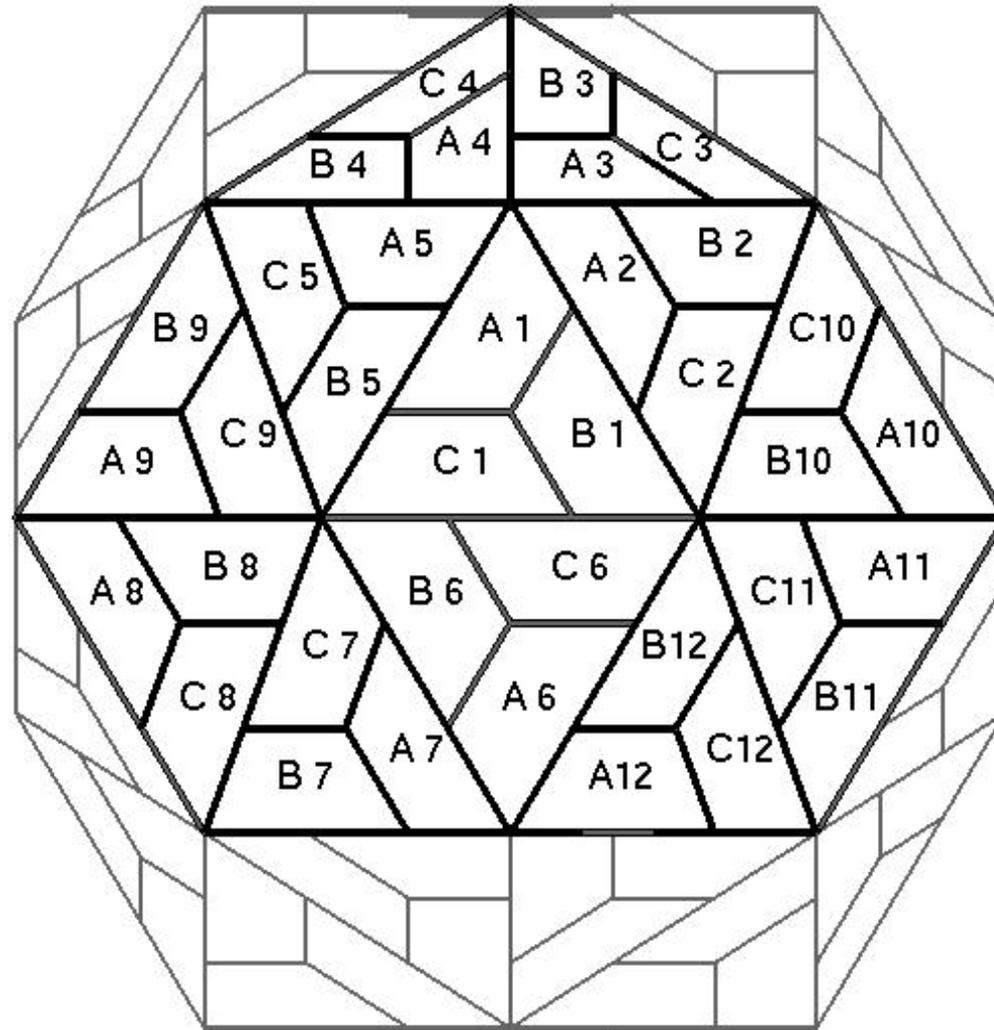
No of faces : 12 (5-folds)  
No of edges : 30 (2-folds)  
No of vertices : 20 (3-folds)

Unit cell

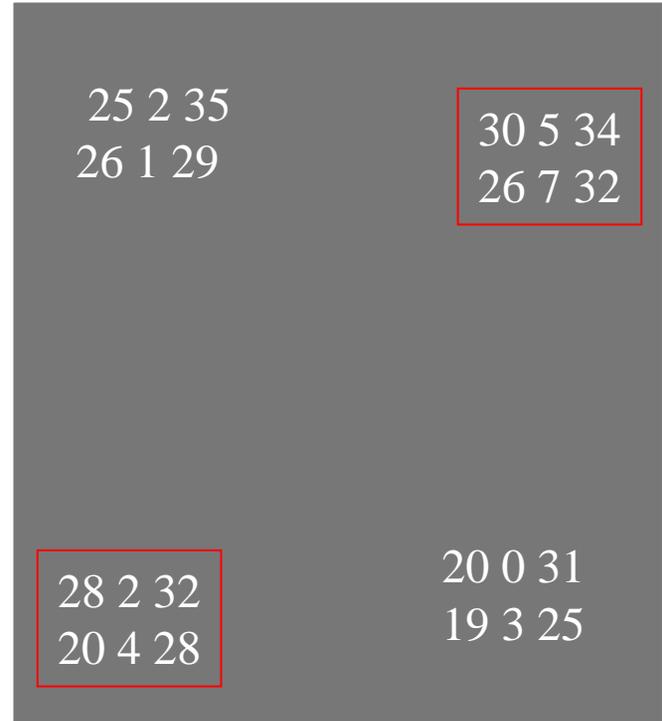
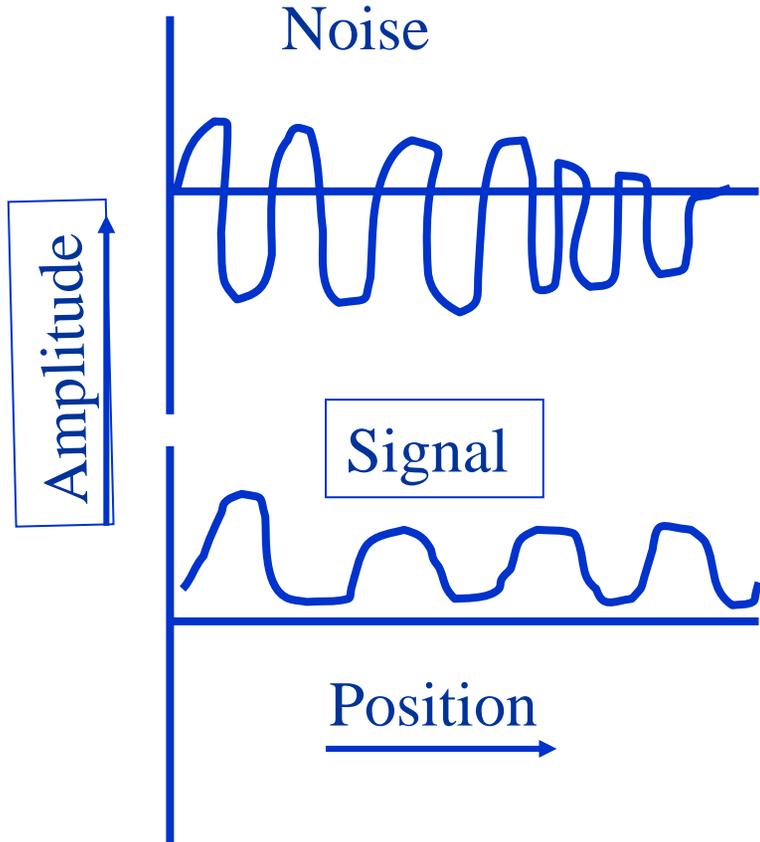


- Particle + unit cell symmetry
- Symmetry preserved in diffraction space

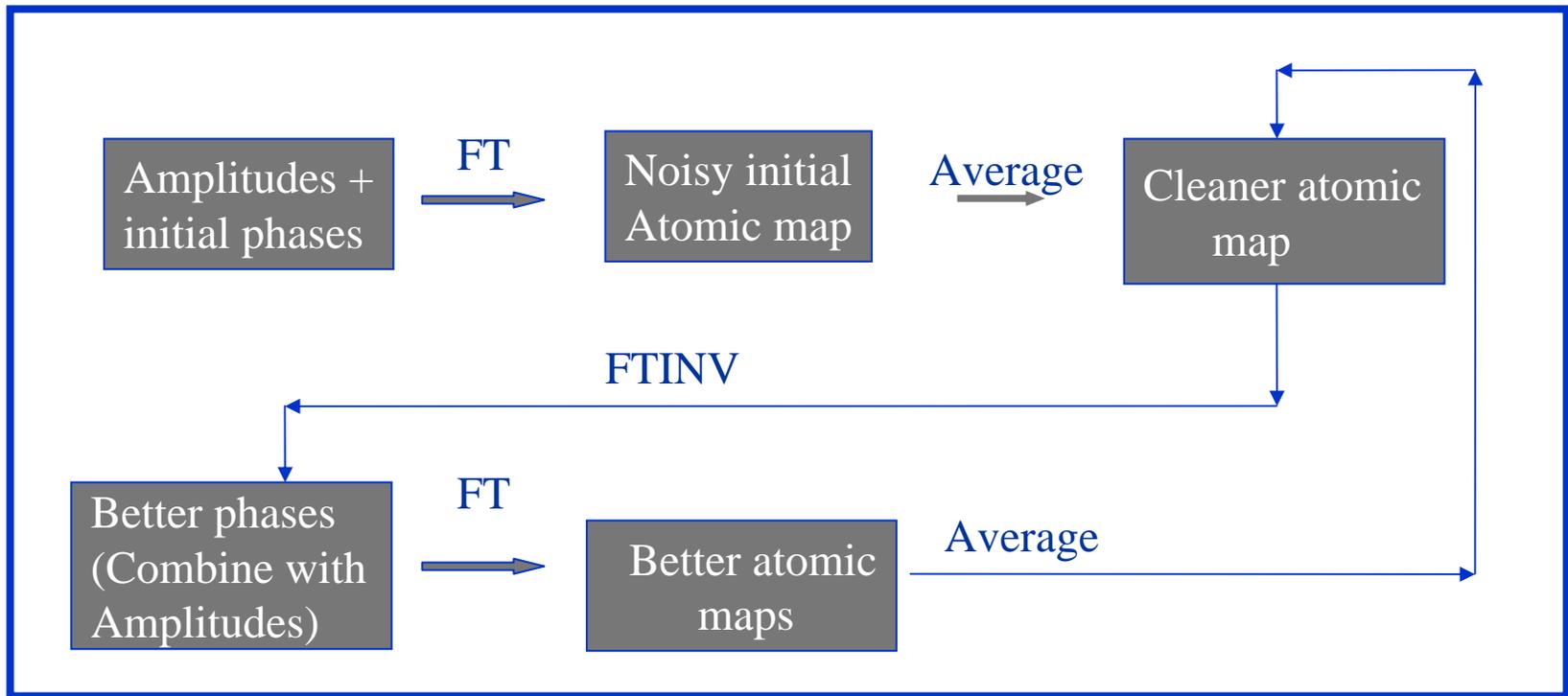
# T=3 Icosahedron



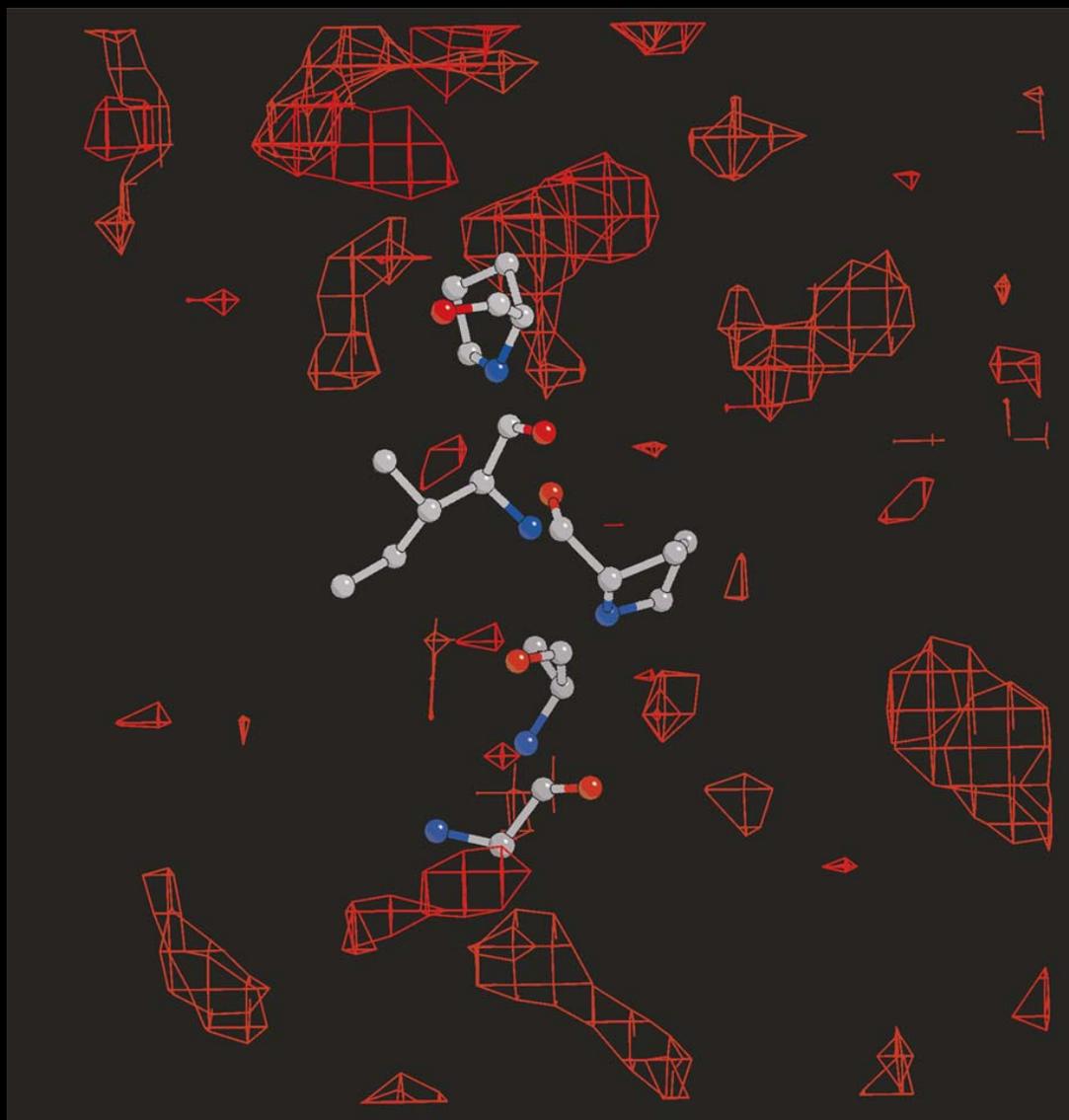
# Averaging



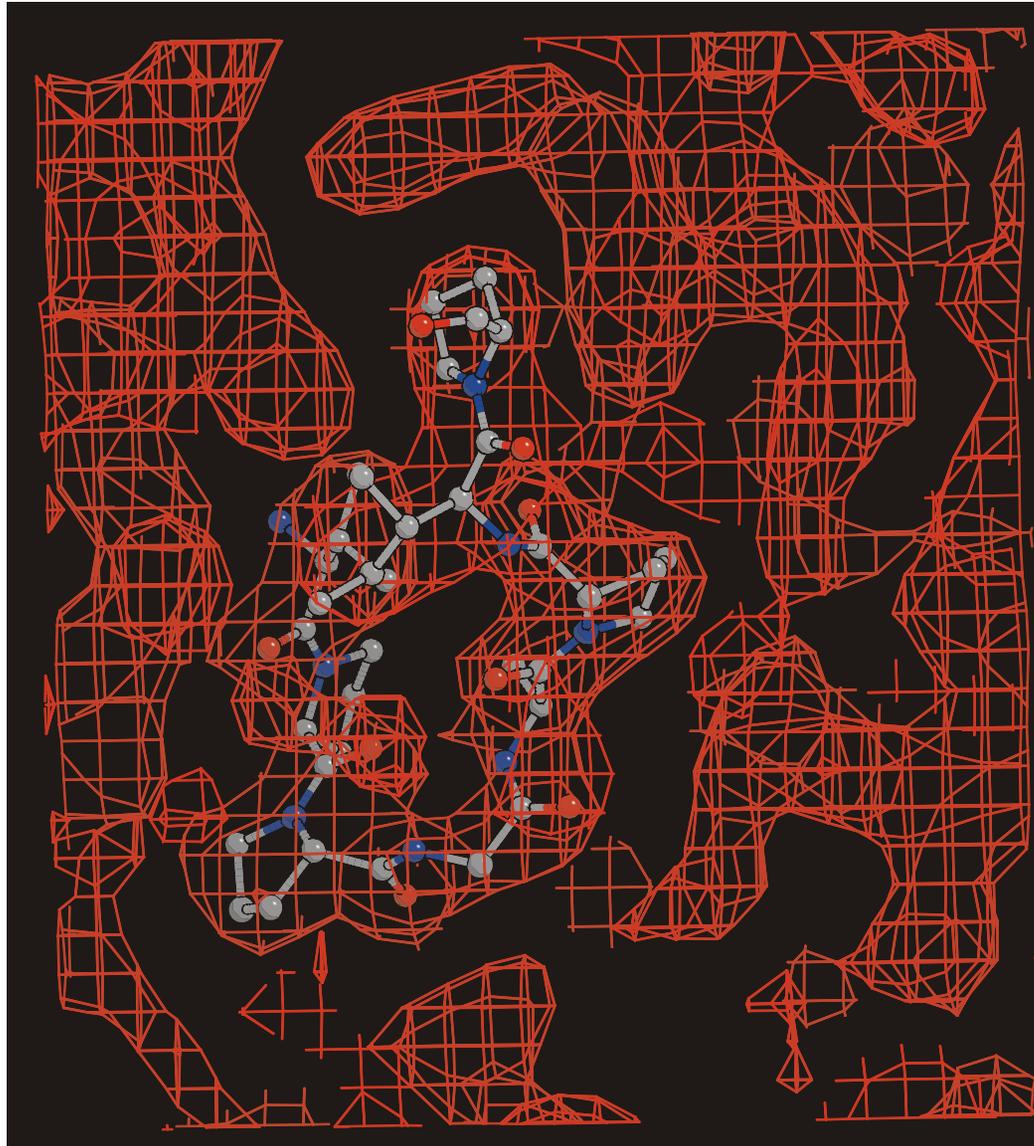
# Averaging and Iterative Improvement of Phases



# Poor Initial Phases



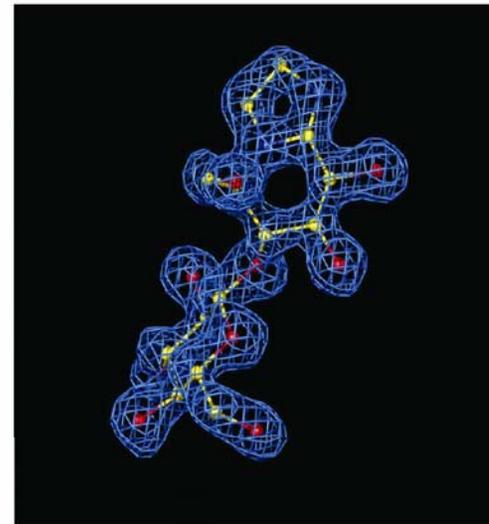
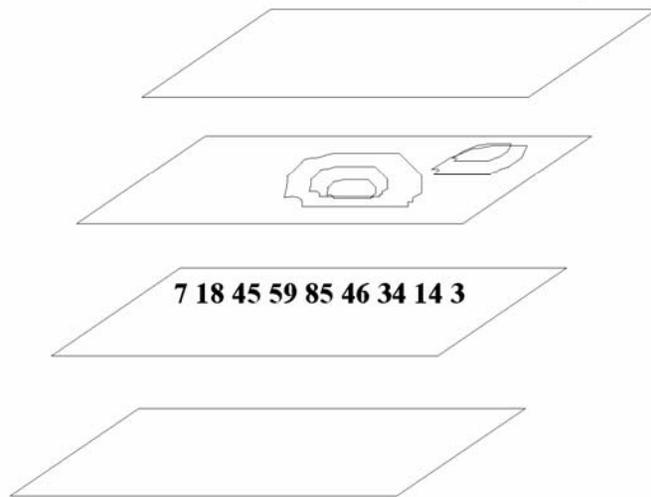
# Improved Phases after Cycles of Averaging



# Display of Electron Density

**Electron density maps are displayed as iso-contour figures**

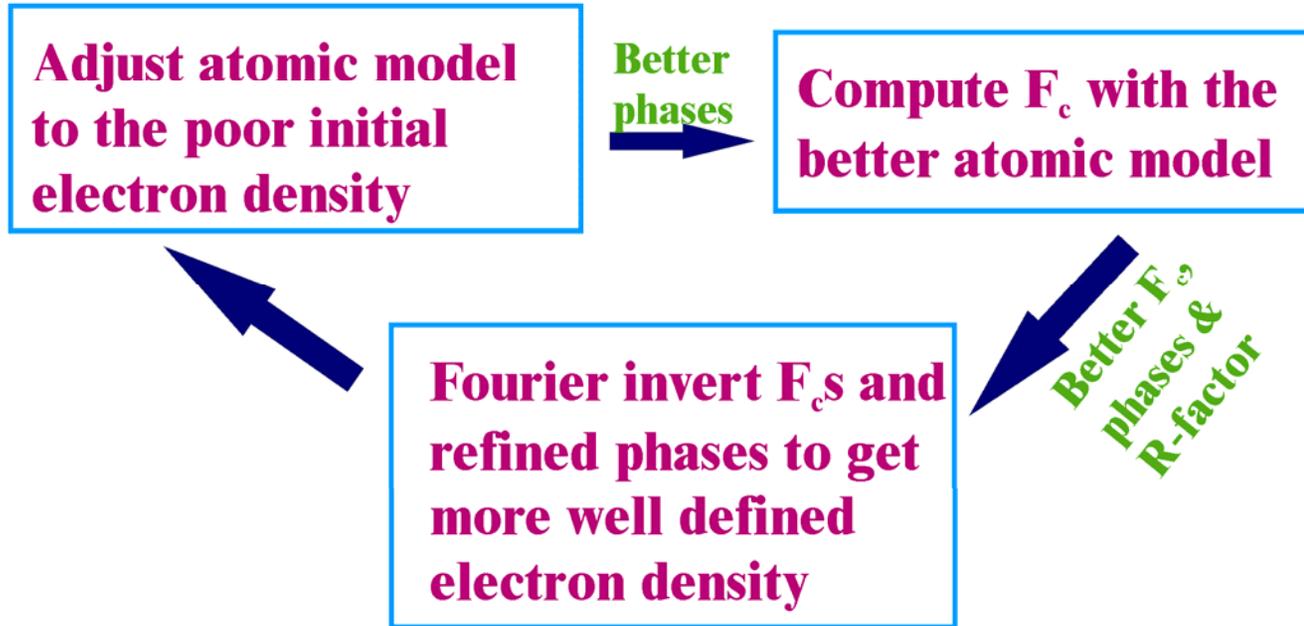
## Electron density sections



# Refinement of Atomic Model

**Poor initial phases  $\Rightarrow F_c$  does not agree with  $F_{obs}$**

**Discrepancy or R-factor =** 
$$\sum_{hkl} \frac{||F_{obs}| - |F_c||}{|F_c|}$$
 **is high**



# Refinement is Like Curve Fitting

**Fit the best 2-parameter curve through 9 points**

**No. of observations: 9**

**No. of parameters: 2**

**Observational equations**

$$y_1 = a_{11} x_1 + a_{12} x_2$$

$$y_2 = a_{21} x_1 + a_{22} x_2$$

.....

.

.

$$y_9 = a_{91} x_1 + a_{92} x_2$$

**$Ax = y$ , where  $A$  is a (9x2) matrix that gives the best estimate of the parameters  $x$  to match the observational column vector  $y$**

**Minimize  $(y-Ax)^t (y-Ax)$  to get the best estimates of the parameters  $x$**

# Normal Equations in Refinement

**Observational equations:  $A\psi = \mathbf{b}$**

**A: Matrix that calculates the  $F_c$ s from the positional and the thermal parameters  $\psi$**

**b: Experimentally obtained structure factors  $F_{\text{obs}}$ s**

**Residual vector:  $\mathbf{r} = \mathbf{b} - A\psi$**

**Minimize the objective function  $M = \mathbf{r}^T \mathbf{r}$**

$$\frac{\partial M}{\partial \psi} = \mathbf{0} \Rightarrow A^T A \psi = A^T \mathbf{b}$$

**Normal equations**

**Observational equations are non-linear in parameters:**

$$A(\delta\psi) = \mathbf{b}$$

$$A^T A \delta\psi = A^T \mathbf{b}$$

**Conjugate Gradient**

# Full LSQ Refinement not Possible

**Refinement parameters: Positional and thermal**

**Protein of 30kDa: ~300 residues => ~3000 atoms  
(3 positional + 1 thermal parameter) / atom  
12000 refinement parameters.**

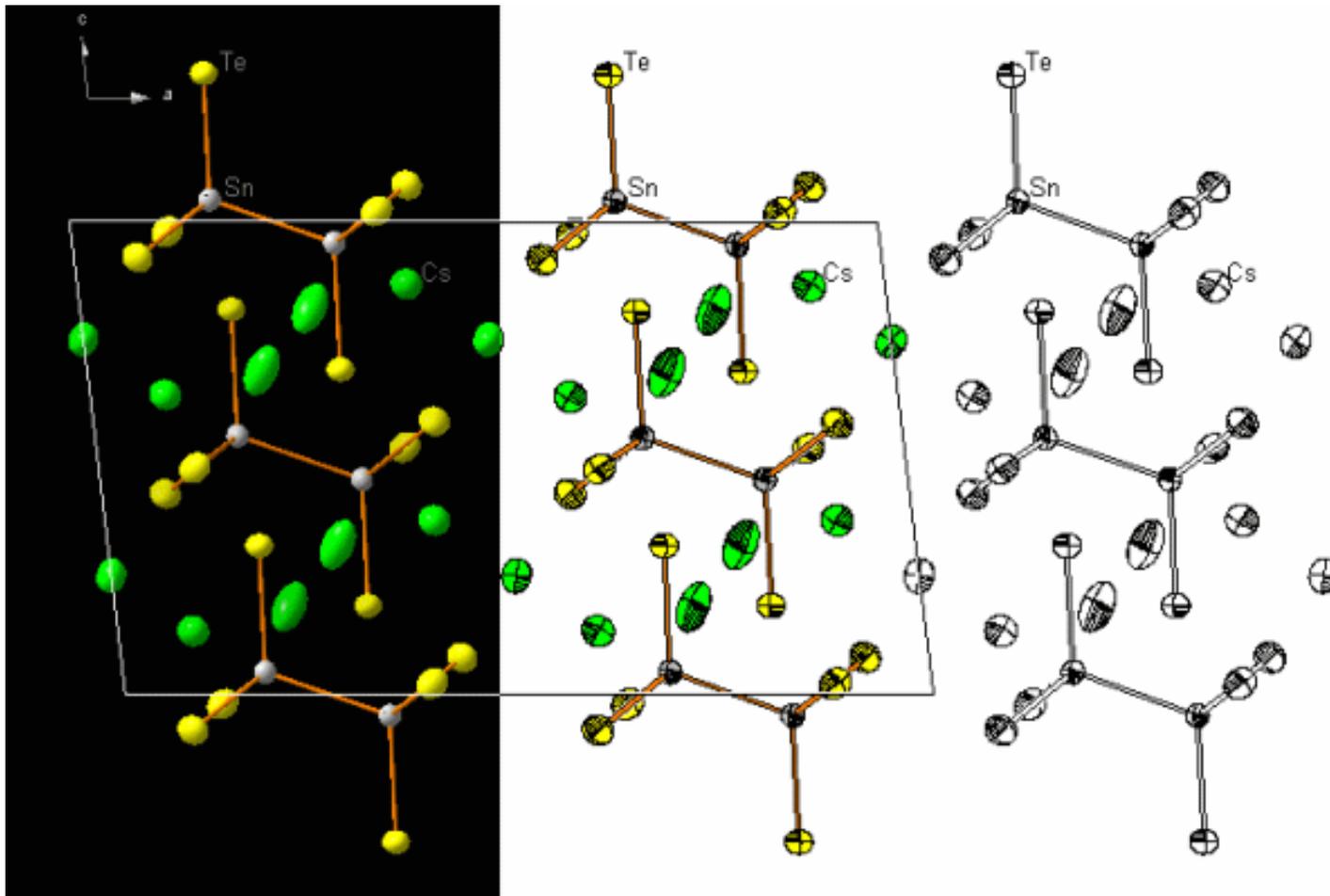
**Unit cell: 80x70x40 Å => ~16000 reflections within  
the 2Å Ewald sphere**

**Overdeterminacy ratio = # observations / # parameters  
= 16K/12K ~ 1.4 (Inadequate for LSQ refinement)**

**Introduce geometric and energy restraints/constraints  
(Restrained/constrained least squares refinement)**

# Anisotropic B-values Thermal Ellipsoids

$$B_{\text{isotropic}} = \text{const} * \langle u^2 \rangle$$



<http://www.crystalimpact.com/diamond/v2feature-ellipsoids.htm>

# $R_{\text{free}}$ in Refinement of Atomic Models

$$\text{Discrepancy or R-factor} = \sum \frac{||\mathbf{F}_{\text{obs}}| - |\mathbf{F}_{\text{c}}||}{|\mathbf{F}_{\text{c}}|}$$

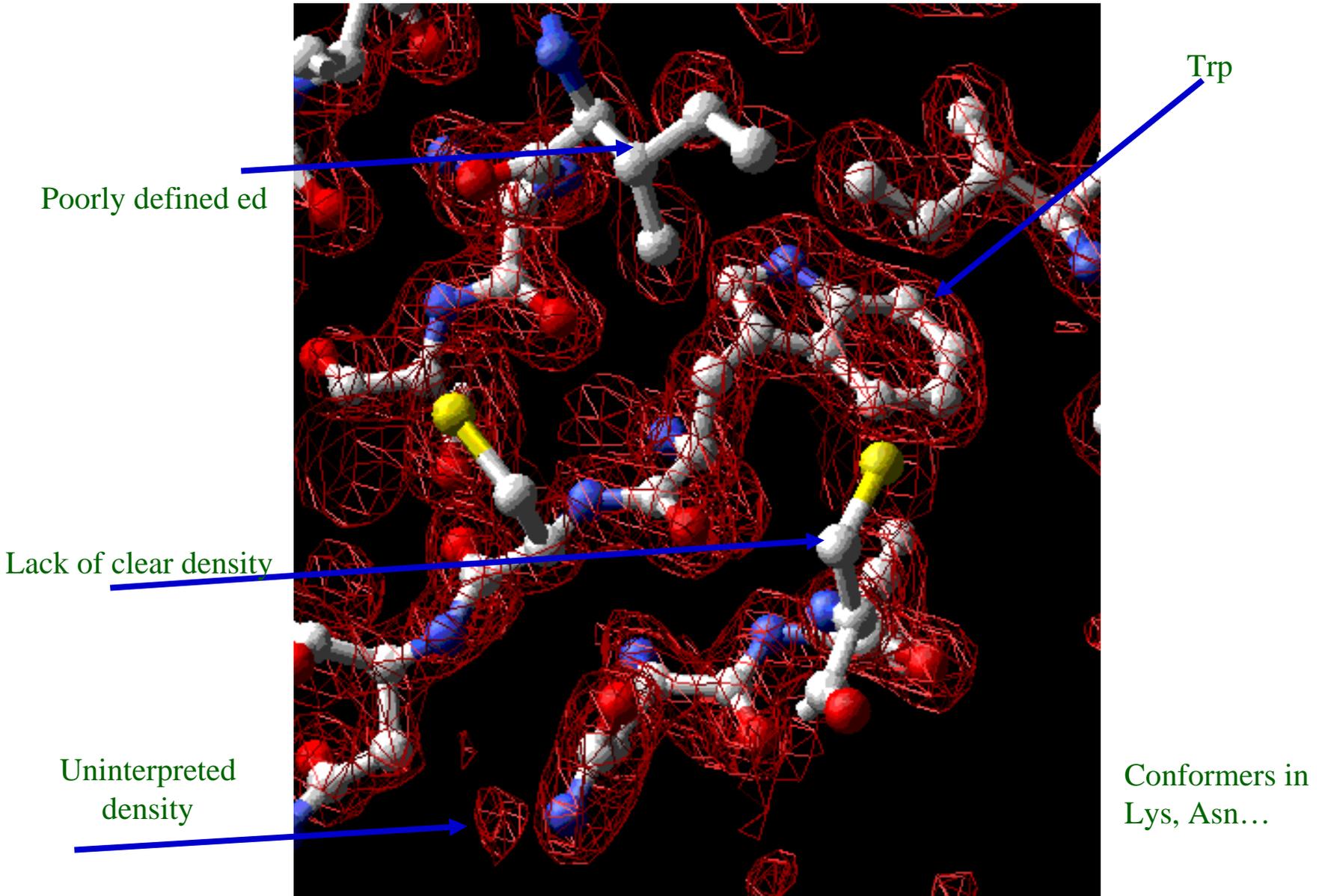
Q: How good does this model predict the data that it has not seen?

Curve fitting analogy: Does a 4<sup>th</sup> pt lie on a 3-pt quadratic curve?

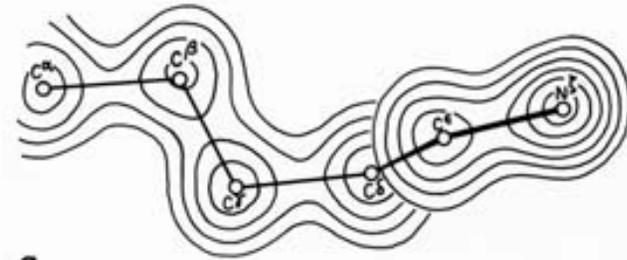
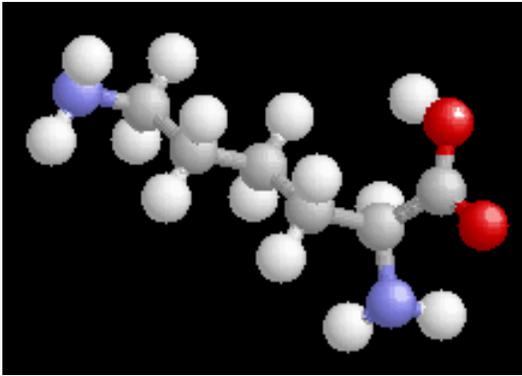
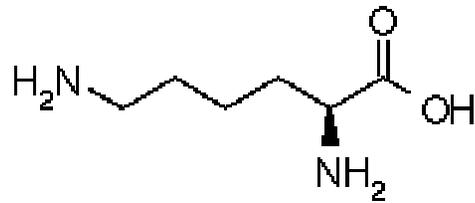
$R_{\text{free}}$ : Choose a random 5-10% test data sub-set and calculate R-factor for this test set.

If the model is good,  $R_{\text{free}}$  should closely follow R of the remaining data set.

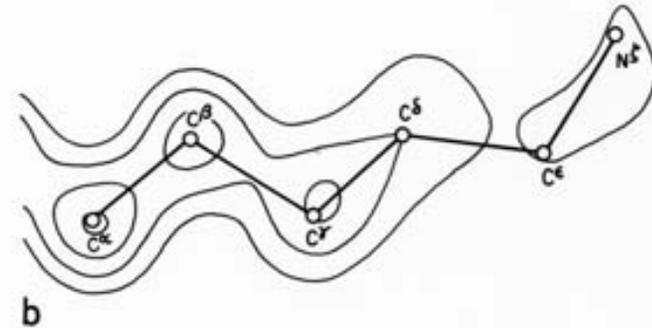
# Refined Electron Density



# Manual Editing Using Graphics



a



b

Disordered Lysine

Manual model editing is necessary

# Concluding Remarks

- X-ray diffraction may be analyzed by FT/series. Alternative methods also reported
- Phases may be determined using several methods
- Phases may be improved by averaging and/or refining the atomic model with model fitting
- Well-refined models are essential to correctly interpret biological functions

# Resources

- Cantor, R.C. & Schimmel, P.R. (1980). *Biophysical Chemistry Part II: Techniques for the study of biological structure and function*. New York; W.H. Freeman & Co, Chapter 13.
- Blundell, T.L. & Johnson, L.N. (1976). *Protein Crystallography*. London; Academic Press.
- Stout, G.H. & Jensen, L.M. (1968). *X-ray Structure Determination: A Practical Guide*. New York: Macmillan